

# Convolutional Neural Networks

Samuel Cheng

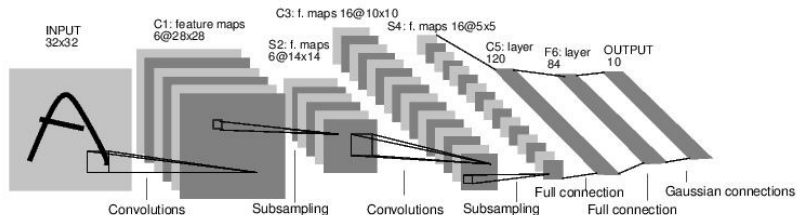
School of ECE  
University of Oklahoma

Spring, 2018

# Table of Contents

- 1 Overview and history of CNN
- 2 CNN basic
- 3 Case study
- 4 Network architecture search
- 5 Some CNN tricks

# Convolutional Neural Networks



[LeNet-5, LeCun 1998]

# CNN history

A bit of history:

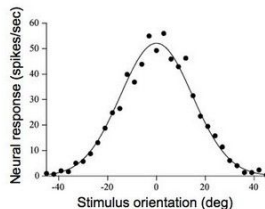
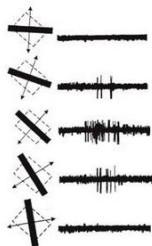
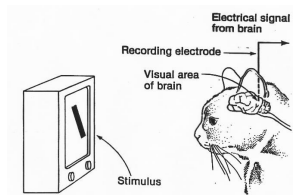
## Hubel & Wiesel, 1959

RECEPTIVE FIELDS OF SINGLE  
NEURONES IN  
THE CAT'S STRIATE CORTEX

## 1962

RECEPTIVE FIELDS, BINOCULAR  
INTERACTION  
AND FUNCTIONAL ARCHITECTURE IN  
THE CAT'S VISUAL CORTEX

## 1968...

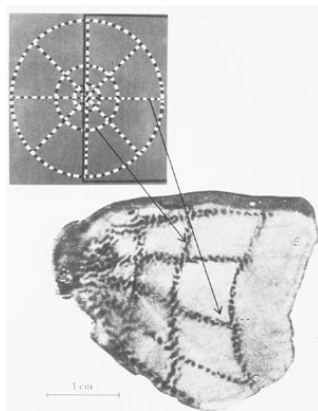




# CNN history

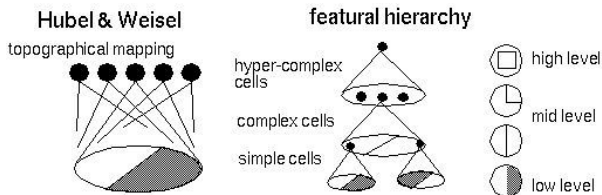
## A bit of history

**Topographical mapping in the cortex:**  
nearby cells in cortex represented  
nearby regions in the visual field



# CNN history

## Hierarchical organization



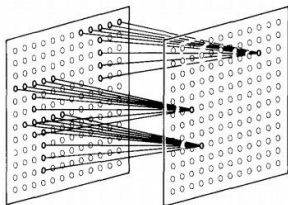
LGB (lateral geniculate body) → simple cells → complex cells → lower order hypercomplex cells → higher order hypercomplex cells

Experiment [video](#), [explanation](#)

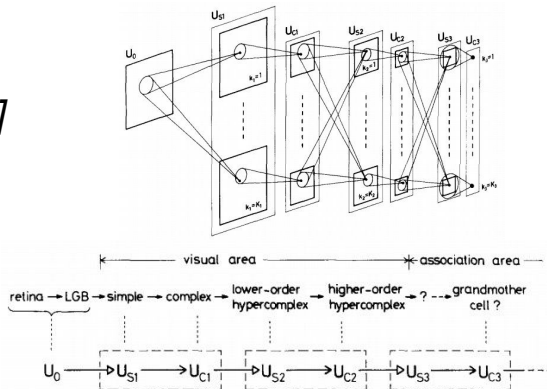
# CNN history

A bit of history:

## Neurocognitron [Fukushima 1980]



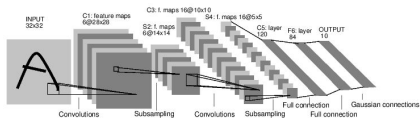
“sandwich” architecture (SCSCSC...)  
 simple cells: modifiable parameters  
 complex cells: perform pooling



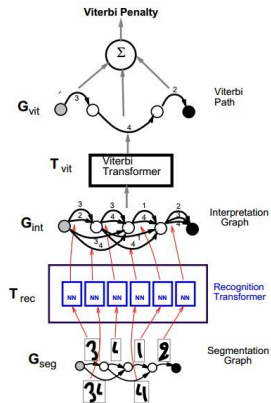
# CNN history

## A bit of history: Gradient-based learning applied to document recognition

[LeCun, Bottou, Bengio, Haffner  
1998]

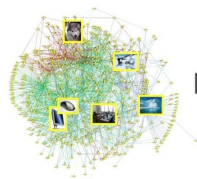


LeNet-5

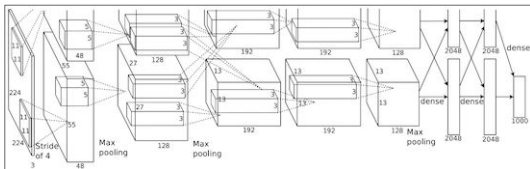


# CNN today

A bit of history:  
**ImageNet Classification with Deep  
 Convolutional Neural Networks**  
*[Krizhevsky, Sutskever, Hinton, 2012]*



IMAGENET



“AlexNet”

Fei-Fei Li & Andrej Karpathy & Justin Johnson

Lecture 6 - 72

25 Jan 2016

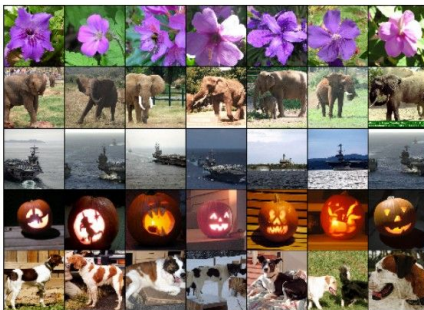
## CNN today

## Fast-forward to today: ConvNets are everywhere

Classification



Retrieval



[Krizhevsky 2012]



## CNN today

# Fast-forward to today: ConvNets are everywhere



self-driving cars

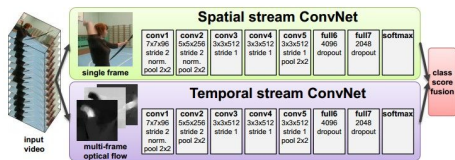
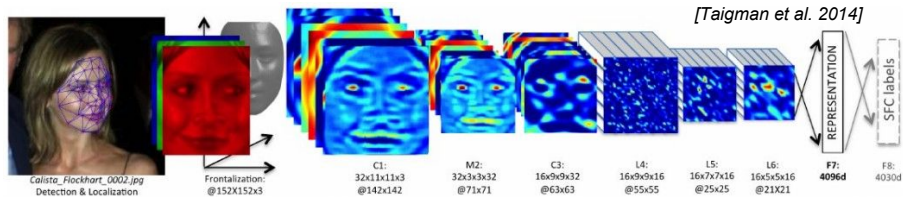


NVIDIA Tegra X1



## CNN today

## Fast-forward to today: ConvNets are everywhere



[Simonyan et al. 2014]



[Goodfellow 2014]

Fei-Fei Li &amp; Andrej Karpathy &amp; Justin Johnson

Lecture 6 - 76

25 Jan 2016

# CNN today

## Fast-forward to today: ConvNets are everywhere



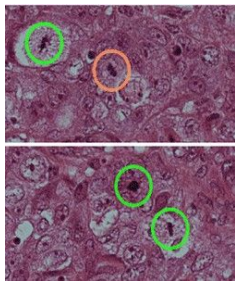
[Toshev, Szegedy 2014]



[Mnih 2013]

## CNN today

## Fast-forward to today: ConvNets are everywhere



[Ciresan et al. 2013]

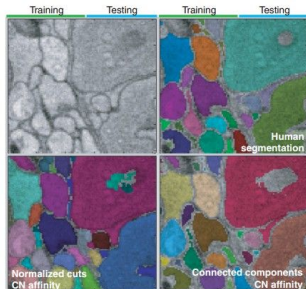


[Sermanet et al. 2011]

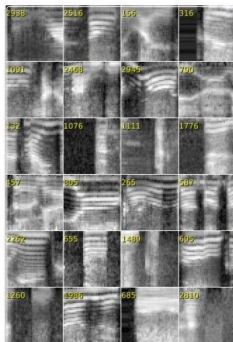
[Ciresan et al.]

## CNN today

## Fast-forward to today: ConvNets are everywhere



[Turaga et al., 2010]



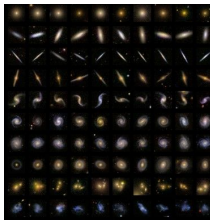
I caught this movie on the Sci-Fi channel recently. It actually turned out to be pretty decent as far as B-list horror/science films go. Two guys take refuge and end  
 one another's lives. Take a road trip to stop a warlord but save the world possible back when a warlord is a truck, truck-truck, truck-truck, truck-truck.  
 Unlike car-and-truck-with-thing. Things are further complicated when they pick up a ridiculously weird technician. What makes the film unique is that  
 the combination of comedy and horror actually work in this movie, unlike so many others. The two guys are likable enough and there are some good character/scene  
 scenes. Nice pacing and comic timing make this movie more than passable for the horror/tech buff. **Worth watching!**

I just saw this on a local independent station in the New York City area. The cast seemed great but when I saw the director, George Clooney, I became  
 suspicious. And very wrong. It was George Clooney and his crew. He is a director, not a comedian. I remember  
 Michael Bay - with all the coolness that accolade brings. There's no point in the conspiracy, no burning issues that anger the conspirators. We are left to  
 ourselves to connect the dots from one bit of graffiti on various walls in the film to the next. Thus, the current budget crisis, the war in Iraq, Katrina, economic, the  
 fate of social security, 47 million Americans without health care, stagnating wages, and the death of the middle class are all subsumed by the sheer terror of graffiti. A  
 truly, eminently idiotic film.

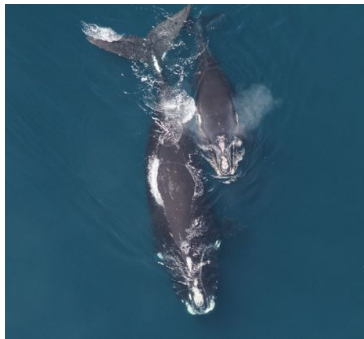
Graphics is far from the best part of the game. **This is the closest one has 731 games to the series.** Next to Underground. **It deserves strong love. It is an  
 indie game.** There are massive levels, massive unlockable characters... it's just a massive game. **Worth your money on this game. This is the kind of games that is  
 worth playing.** And even though graphics suck, that doesn't make a game good. Actually, the graphics were good at the time. Today the graphics are crap. WTF?  
 CARES? As they say in Canada, This is the fat game, you. You got to give Canada (by TPS5) Well, I don't know if they say that, but they might, who knows. Well,  
 Canadian people do. Wait a minute, I'm getting off topic. This game rocks. Buy it, play it, enjoy it, love it. It's PURE BRILLIANCE.

The first was good and original. It was a not bad horror/comedy movie. So I had a second one was made and I had to watch it. What really makes this movie work  
 is both Nelson's character and the sometimes clever script. **It pretty good actor but a person who wrote the first Hitchcockian movie and the structure was  
 great.** Sometimes there's scenes where it looks like it was filmed using a home video camera with a grainy - look. Great made - for - TV movie. **It was worth the  
 time and probably worth having just to get that was some feeling and movie that Nelson's character doing what he does like.** I suggest newcomers to watch the first  
 one before watching the sequel, just so you'll have an idea what Stanley is like and get a little history background.

[Denil et al. 2014]



# CNN today



*Whale recognition, Kaggle Challenge*



*Mnih and Hinton, 2010*

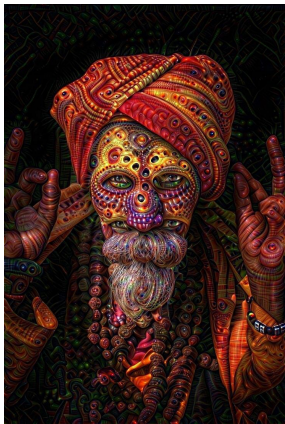
## CNN today

Describes without errors	Describes with minor errors	Somewhat related to the image	Unrelated to the image
 <p>A person riding a motorcycle on a dirt road.</p>	 <p>Two dogs play in the grass.</p>	 <p>A skateboarder does a trick on a ramp.</p>	 <p>A dog is jumping to catch a frisbee.</p>
 <p>A group of young people playing a game of frisbee.</p>	 <p>Two hockey players are fighting over the puck.</p>	 <p>A little girl in a pink hat is blowing bubbles.</p>	 <p>A refrigerator filled with lots of food and drinks.</p>
 <p>A herd of elephants walking across a dry grass field.</p>	 <p>A close up of a cat laying on a couch.</p>	 <p>A red motorcycle parked on the side of the road.</p>	 <p>A yellow school bus parked in a parking lot.</p>

## Image Captioning

[Vinyals et al., 2015]

## CNN today



[reddit.com/r/deepdream](https://www.reddit.com/r/deepdream)

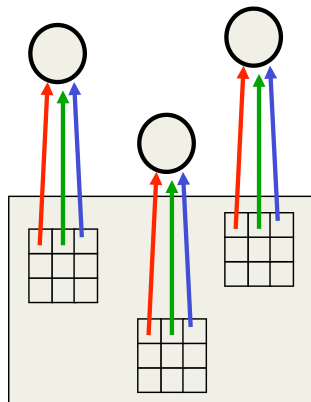
Fei-Fei Li & Andrej Karpathy & Justin Johnson

Lecture 6 - 82

25 Jan 2016

# Motivation of CNN

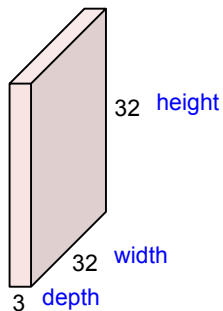
- A same object under different viewpoints is very different in pixel domain
  - A slightly horizontally shifted image has change imperceivable to us but can confuse naive recognition system
- Ideally, we may want to have shift-invariant features
- In practice, if we have local feature suitable for a particular region, the same feature should work well with other region
  - Weight sharing across space  $\rightarrow$  CNN





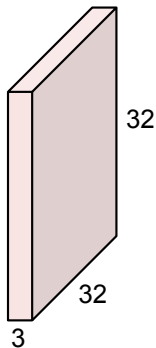
# Convolution Layer

32x32x3 image



# Convolution Layer

32x32x3 image



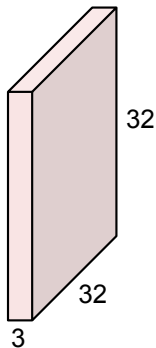
5x5x3 filter



**Convolve** the filter with the image  
i.e. “slide over the image spatially,  
computing dot products”

# Convolution Layer

32x32x3 image



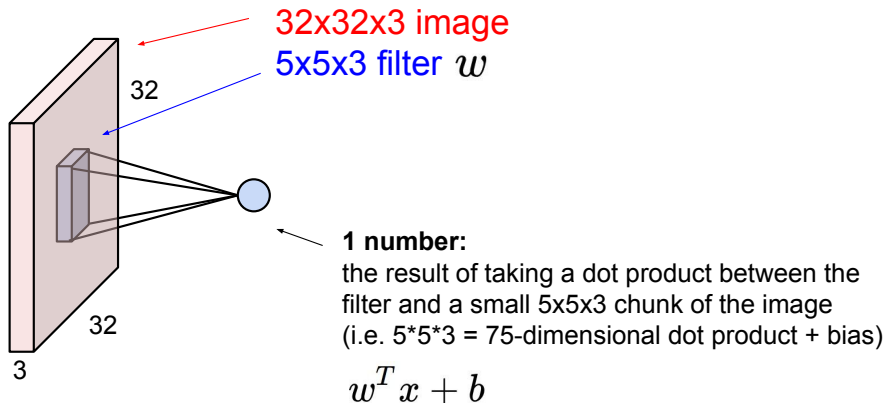
Filters always extend the full depth of the input volume

5x5x3 filter

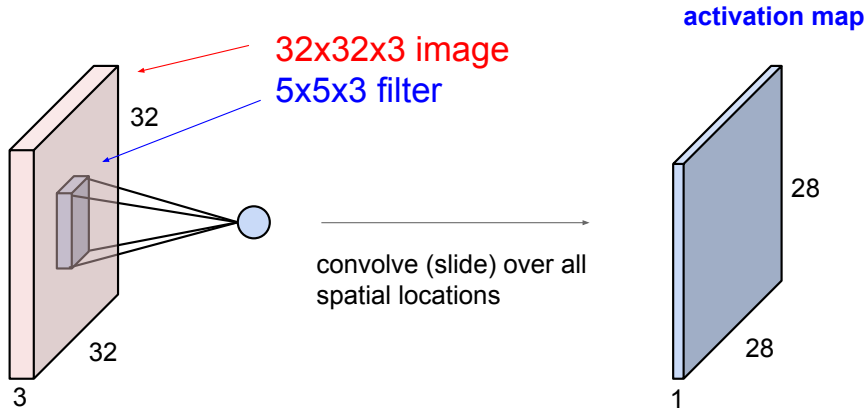


**Convolve** the filter with the image  
i.e. “slide over the image spatially,  
computing dot products”

# Convolution Layer

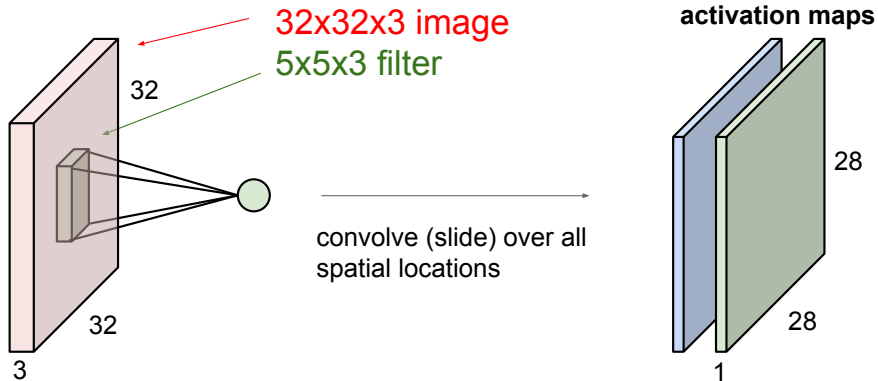


# Convolution Layer

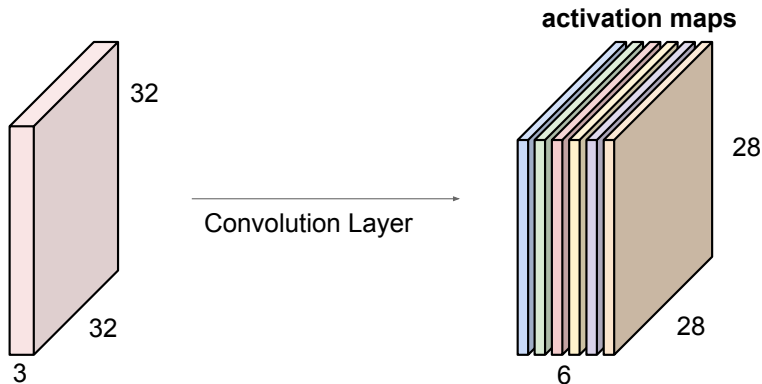


# Convolution Layer

consider a second, **green** filter

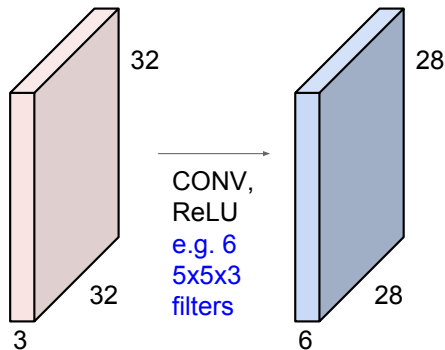


For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:



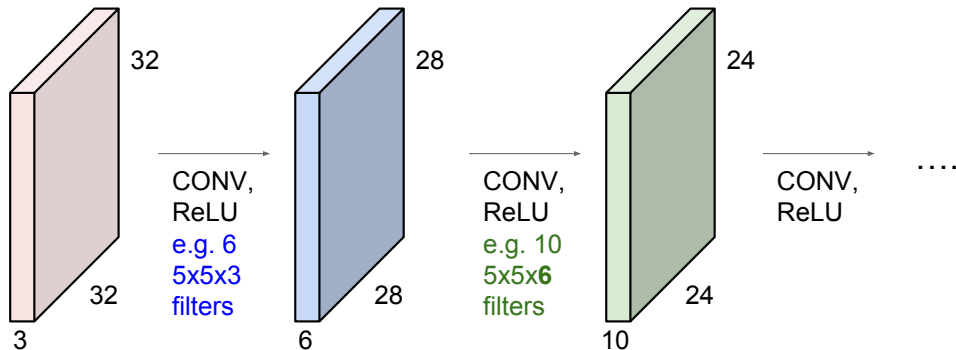
We stack these up to get a “new image” of size 28x28x6!

**Preview:** ConvNet is a sequence of Convolution Layers, interspersed with activation functions

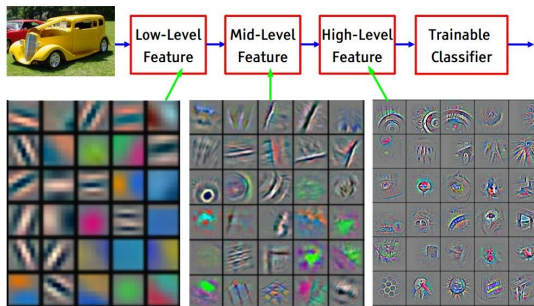




**Preview:** ConvNet is a sequence of Convolutional Layers, interspersed with activation functions

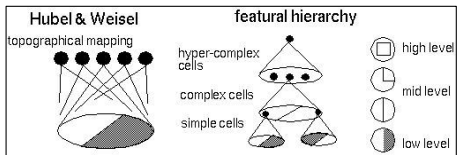


## Preview



[From recent Yann LeCun slides]

Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]



one filter =>  
one activation map

example 5x5 filters  
(32 total)

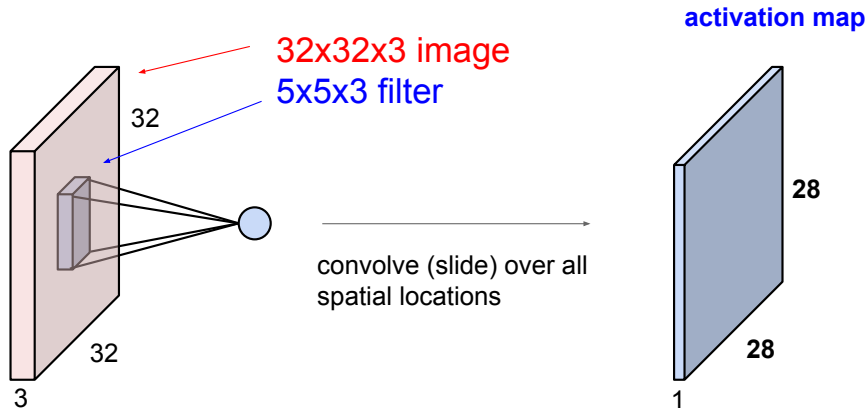
Activations:

We call the layer convolutional because it is related to convolution of two signals:

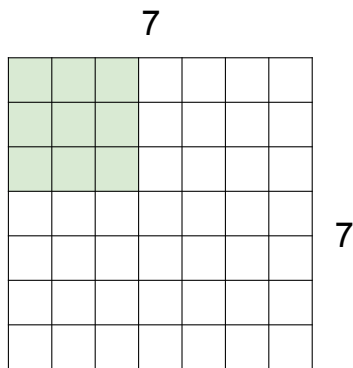
$$f[x,y] * g[x,y] = \sum_{n_1=-\infty}^{\infty} \sum_{n_2=-\infty}^{\infty} f[n_1,n_2] \cdot g[x-n_1,y-n_2]$$

elementwise multiplication and sum of a filter and the signal (image)

A closer look at spatial dimensions:

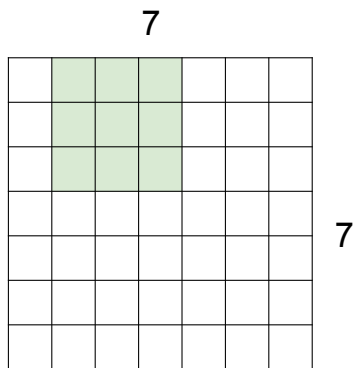


A closer look at spatial dimensions:



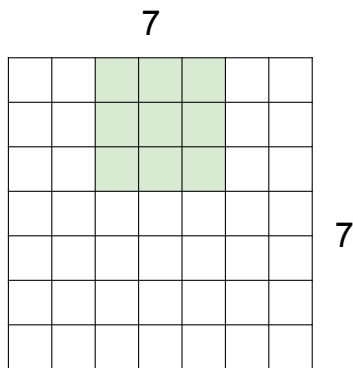
7x7 input (spatially)  
assume 3x3 filter

A closer look at spatial dimensions:



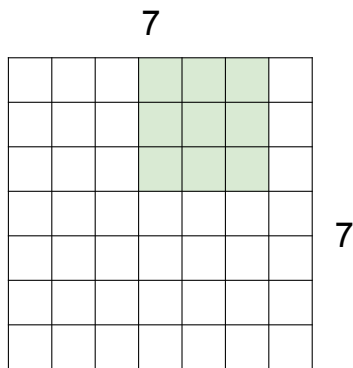
7x7 input (spatially)  
assume 3x3 filter

A closer look at spatial dimensions:



7x7 input (spatially)  
assume 3x3 filter

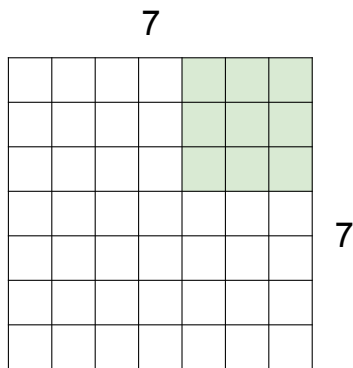
A closer look at spatial dimensions:



7x7 input (spatially)  
assume 3x3 filter



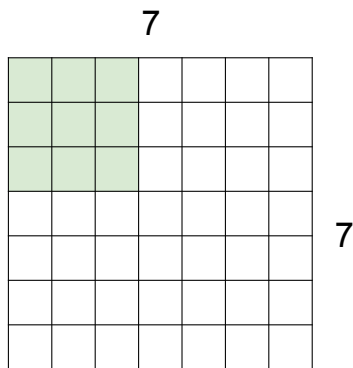
A closer look at spatial dimensions:



7x7 input (spatially)  
assume 3x3 filter

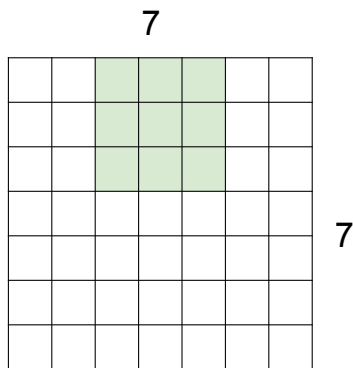
**=> 5x5 output**

A closer look at spatial dimensions:



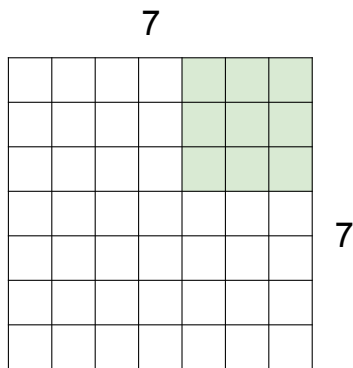
7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**

A closer look at spatial dimensions:



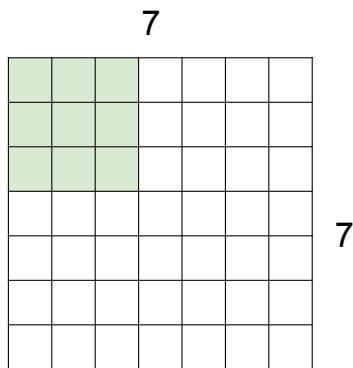
7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**

A closer look at spatial dimensions:



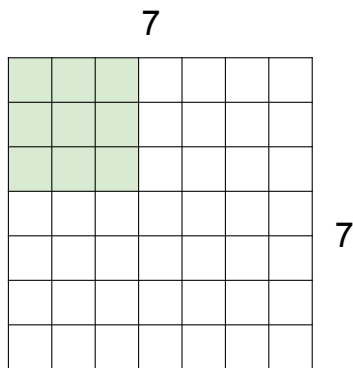
7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**  
**=> 3x3 output!**

A closer look at spatial dimensions:



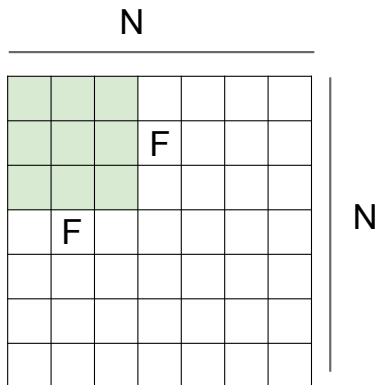
7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 3?**

A closer look at spatial dimensions:



7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 3?**

**doesn't fit!**  
cannot apply 3x3 filter on  
7x7 input with stride 3.



Output size:  
 $(N - F) / \text{stride} + 1$

e.g.  $N = 7, F = 3$ :

stride 1  $\Rightarrow (7 - 3) / 1 + 1 = 5$

stride 2  $\Rightarrow (7 - 3) / 2 + 1 = 3$

stride 3  $\Rightarrow (7 - 3) / 3 + 1 = 2.33 \therefore \setminus$

## In practice: Common to zero pad the border

0	0	0	0	0	0			
0								
0								
0								
0								

e.g. input 7x7

**3x3** filter, applied with **stride 1**

**pad with 1 pixel** border => what is the output?

(recall:)

$$(N - F) / \text{stride} + 1$$



## In practice: Common to zero pad the border

0	0	0	0	0	0			
0								
0								
0								
0								

e.g. input 7x7

**3x3** filter, applied with **stride 1**

**pad with 1 pixel** border => what is the output?

**7x7 output!**

Examples time:

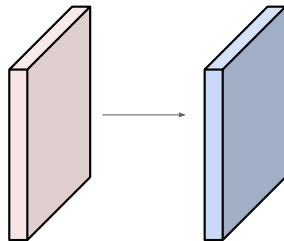
Input volume: **32x32x3**

**10** **5x5** filters with stride **1**, pad **2**

Output volume size:

$(32+2*2-5)/1+1 = 32$  spatially, so

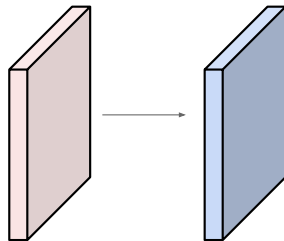
**32x32x10**



Examples time:

Input volume: **32x32x3**

10 5x5 filters with stride 1, pad 2

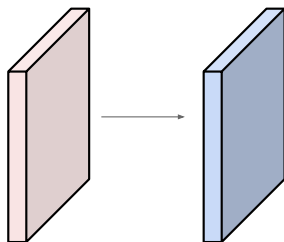


Number of parameters in this layer?

Examples time:

Input volume: **32x32x3**

**10** **5x5** filters with stride 1, pad 2



Number of parameters in this layer?

each filter has  $5*5*3 + 1 = 76$  params (+1 for bias)

$\Rightarrow 76*10 = 760$

**Summary.** To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires four hyperparameters:
  - Number of filters  $K$ ,
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
  - the amount of zero padding  $P$ .
- Produces a volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = (W_1 - F + 2P)/S + 1$
  - $H_2 = (H_1 - F + 2P)/S + 1$  (i.e. width and height are computed equally by symmetry)
  - $D_2 = K$
- With parameter sharing, it introduces  $F \cdot F \cdot D_1$  weights per filter, for a total of  $(F \cdot F \cdot D_1) \cdot K$  weights and  $K$  biases.
- In the output volume, the  $d$ -th depth slice (of size  $W_2 \times H_2$ ) is the result of performing a valid convolution of the  $d$ -th filter over the input volume with a stride of  $S$ , and then offset by  $d$ -th bias.

## Common settings:

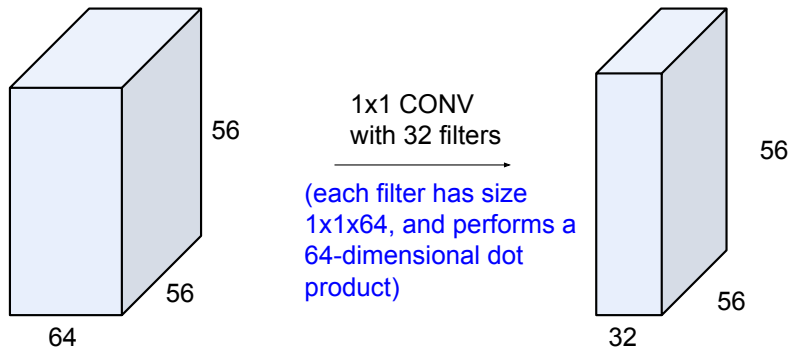
$K =$  (powers of 2, e.g. 32, 64, 128, 512)

- $F = 3, S = 1, P = 1$
- $F = 5, S = 1, P = 2$
- $F = 5, S = 2, P = ?$  (whatever fits)
- $F = 1, S = 1, P = 0$

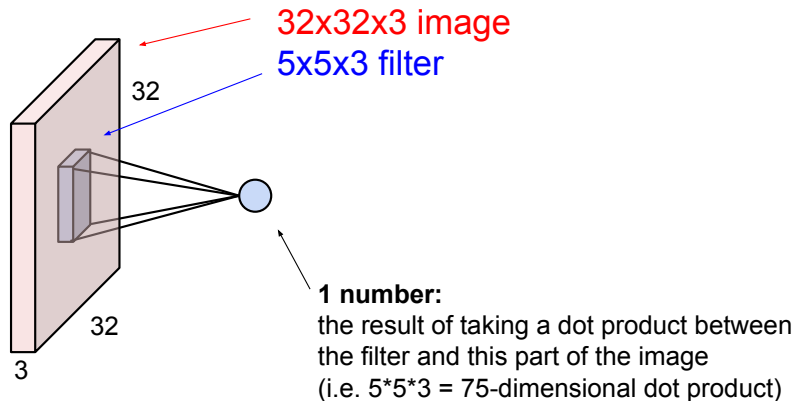
**Summary.** To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires four hyperparameters:
  - Number of filters  $K$ ,
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
  - the amount of zero padding  $P$ .
- Produces a volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = (W_1 - F + 2P) / S + 1$
  - $H_2 = (H_1 - F + 2P) / S + 1$  (i.e. width and height are computed equally by symmetry)
  - $D_2 = K$
- With parameter sharing, it introduces  $F \cdot F \cdot D_1$  weights per filter, for a total of  $(F \cdot F \cdot D_1) \cdot K$  weights and  $K$  biases.
- In the output volume, the  $d$ -th depth slice (of size  $W_2 \times H_2$ ) is the result of performing a valid convolution of the  $d$ -th filter over the input volume with a stride of  $S$ , and then offset by  $d$ -th bias.

(btw, 1x1 convolution layers make perfect sense)

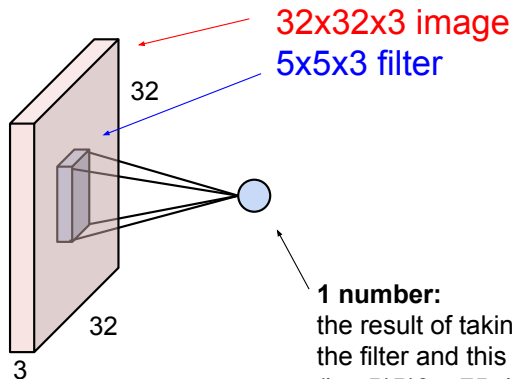


## The brain/neuron view of CONV Layer



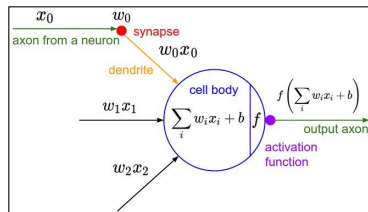


# The brain/neuron view of CONV Layer



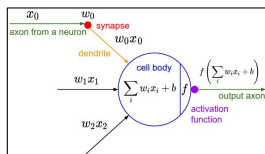
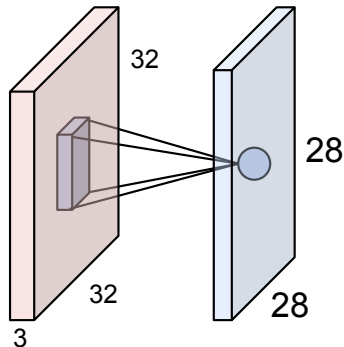
**1 number:**

the result of taking a dot product between the filter and this part of the image (i.e.  $5 \cdot 5 \cdot 3 = 75$ -dimensional dot product)



It's just a neuron with local connectivity...

## The brain/neuron view of CONV Layer

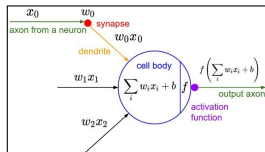
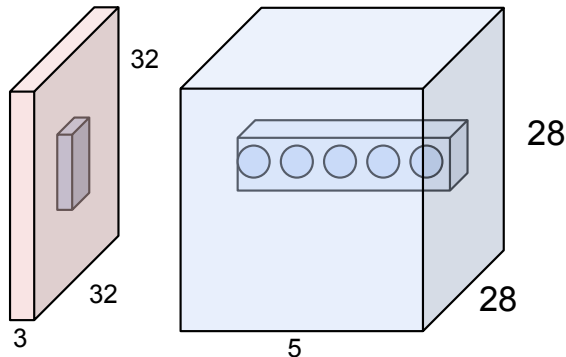


An activation map is a 28x28 sheet of neuron outputs:

1. Each is connected to a small region in the input
2. All of them share parameters

“5x5 filter” -> “5x5 receptive field for each neuron”

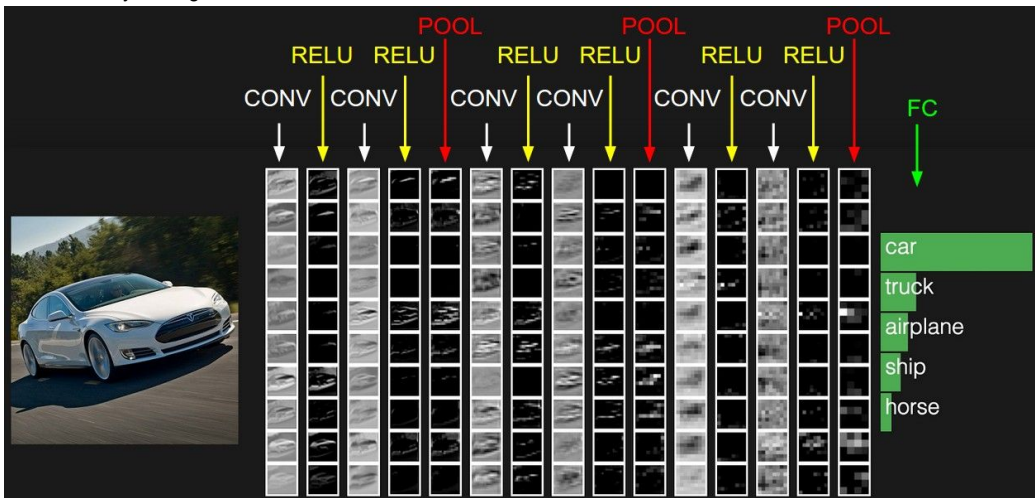
## The brain/neuron view of CONV Layer



E.g. with 5 filters,  
CONV layer consists of  
neurons arranged in a 3D grid  
(28x28x5)

There will be 5 different  
neurons all looking at the same  
region in the input volume

two more layers to go: POOL/FC



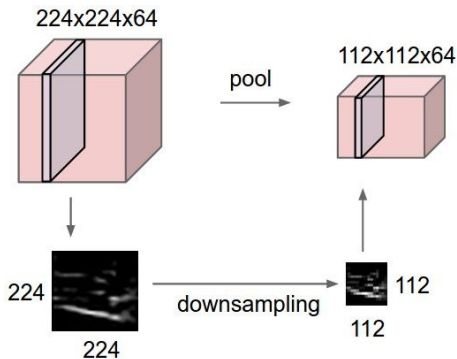
Fei-Fei Li & Andrej Karpathy & Justin Johnson

Lecture 7 - 53

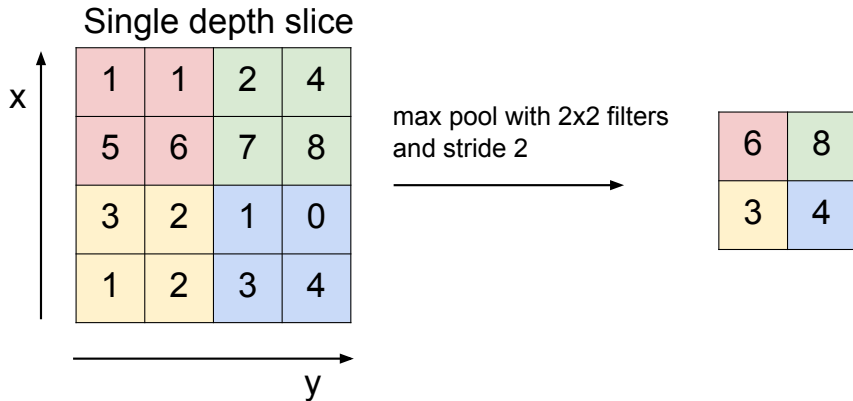
27 Jan 2016

# Pooling layer

- makes the representations smaller and more manageable
- operates over each activation map independently:



# MAX POOLING



- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires three hyperparameters:
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
- Produces a volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = (W_1 - F)/S + 1$
  - $H_2 = (H_1 - F)/S + 1$
  - $D_2 = D_1$
- Introduces zero parameters since it computes a fixed function of the input
- Note that it is not common to use zero-padding for Pooling layers

## Common settings:

$$F = 2, S = 2$$

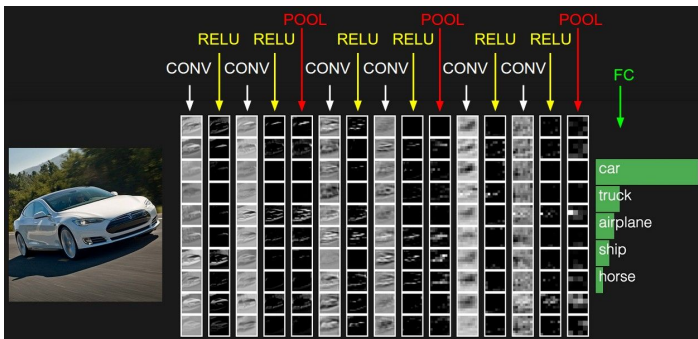
$$F = 3, S = 2$$

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires three hyperparameters:
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
- Produces a volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = (W_1 - F)/S + 1$
  - $H_2 = (H_1 - F)/S + 1$
  - $D_2 = D_1$
- Introduces zero parameters since it computes a fixed function of the input
- Note that it is not common to use zero-padding for Pooling layers



# Fully Connected Layer (FC layer)

- Contains neurons that connect to the entire input volume, as in ordinary Neural Networks

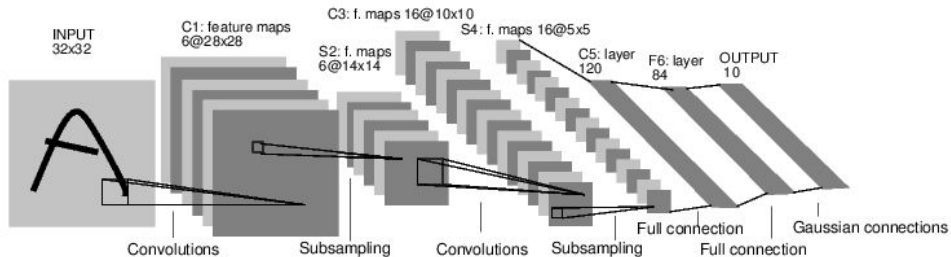


# Demo

ConvNetJS cifar10 demo

# Case Study: LeNet-5

[LeCun et al., 1998]



Conv filters were 5x5, applied at stride 1

Subsampling (Pooling) layers were 2x2 applied at stride 2

i.e. architecture is [CONV-POOL-CONV-POOL-CONV-FC]

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]

### Architecture:

CONV1  
 MAX POOL1  
 NORM1  
 CONV2  
 MAX POOL2  
 NORM2  
 CONV3  
 CONV4  
 CONV5  
 Max POOL3  
 FC6  
 FC7  
 FC8

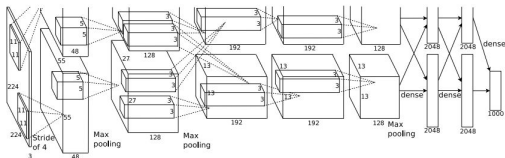
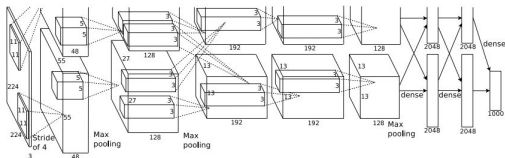


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

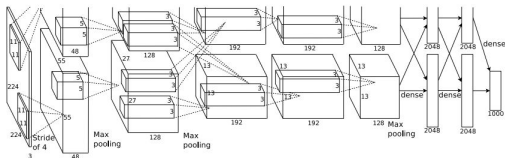
Q: what is the output volume size? Hint:  $(227-11)/4+1 = 55$

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

Output volume **[55x55x96]**

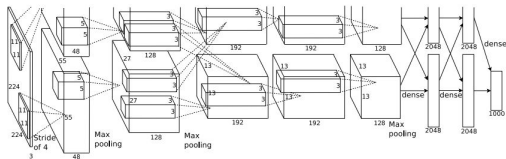
Q: What is the total number of parameters in this layer?

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

Output volume **[55x55x96]**

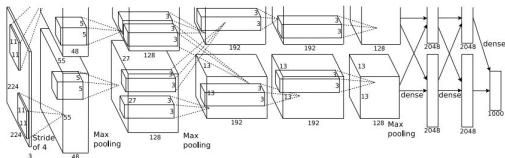
Parameters:  $(11*11*3)*96 = 35\text{K}$

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

**Second layer (POOL1):** 3x3 filters applied at stride 2

Q: what is the output volume size? Hint:  $(55-3)/2+1 = 27$

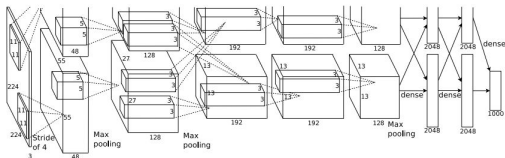
Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.



# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

**Second layer (POOL1):** 3x3 filters applied at stride 2

Output volume: 27x27x96

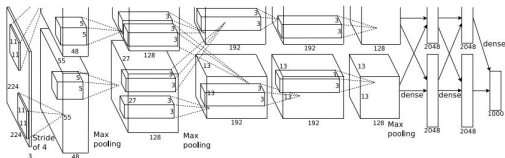
Q: what is the number of parameters in this layer?

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

**Second layer (POOL1):** 3x3 filters applied at stride 2

Output volume: 27x27x96

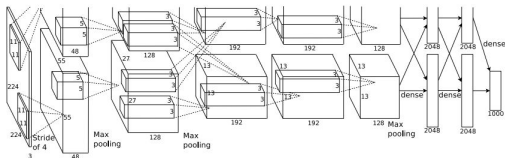
Parameters: 0!

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

After POOL1: 27x27x96

...

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)

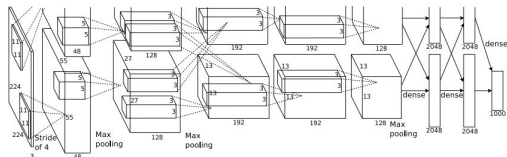


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

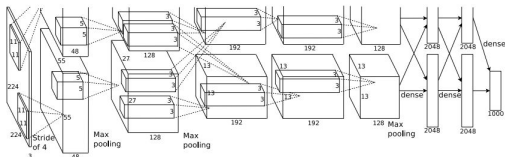
[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)



### Details/Retrospectives:

- first use of ReLU
- used Norm layers (not common anymore)
- heavy data augmentation
- dropout 0.5
- batch size 128
- SGD Momentum 0.9
- Learning rate 1e-2, reduced by 10 manually when val accuracy plateaus
- L2 weight decay 5e-4
- 7 CNN ensemble: 18.2% -> 15.4%

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

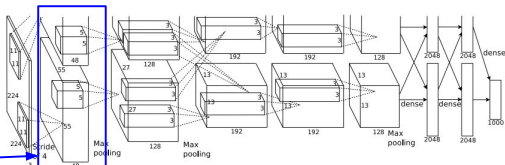
[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons

[4096] FC7: 4096 neurons

[1000] FC8: 1000 neurons (class scores)



[55x55x48] x 2

Historical note: Trained on GTX 580 GPU with only 3 GB of memory. Network spread across 2 GPUs, half the neurons (feature maps) on each GPU.

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

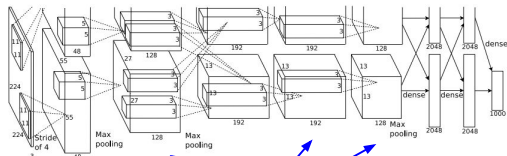
[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)



CONV1, CONV2, CONV4, CONV5:  
Connections only with feature maps  
on same GPU

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet

## Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

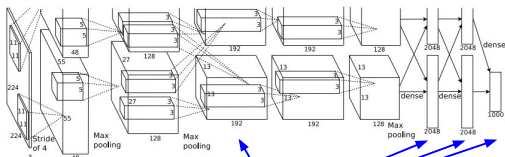
[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)



**CONV3, FC6, FC7, FC8:**  
Connections with all feature maps in preceding layer, communication across GPUs

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.



# AlexNet

## ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

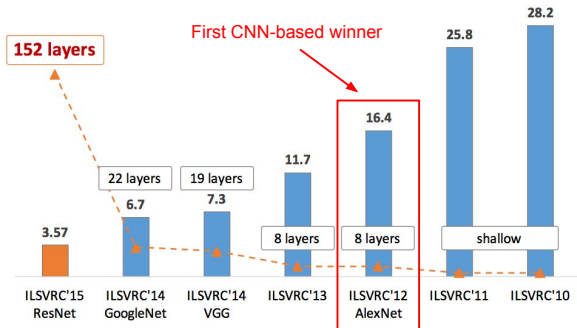


Figure copyright Kaiming He, 2016. Reproduced with permission.

## ZFNet

## ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

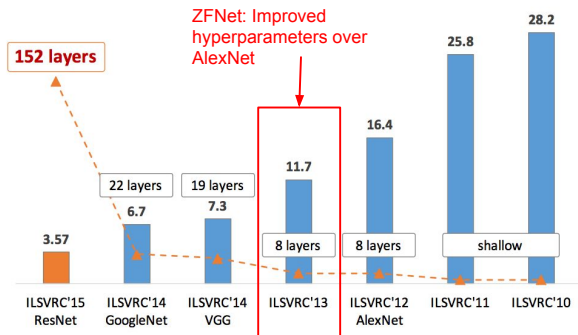
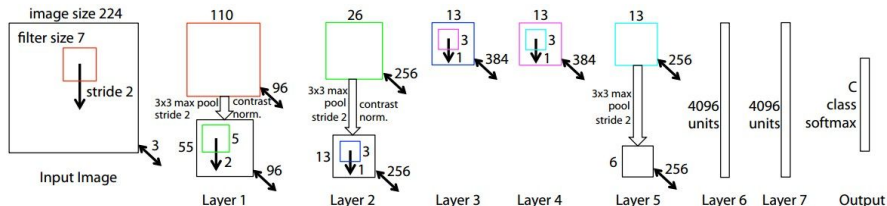


Figure copyright Kaiming He, 2016. Reproduced with permission.

## ZFNet

## ZFNet

[Zeiler and Fergus, 2013]



TODO: remake figure

AlexNet but:

CONV1: change from (11x11 stride 4) to (7x7 stride 2)

CONV3,4,5: instead of 384, 384, 256 filters use 512, 1024, 512

ImageNet top 5 error: 16.4% -&gt; 11.7%

# VGGNet

## ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

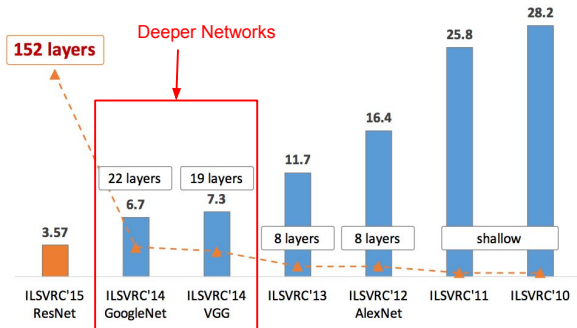


Figure copyright Kaiming He, 2016. Reproduced with permission.

# VGGNet

## Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Small filters, Deeper networks

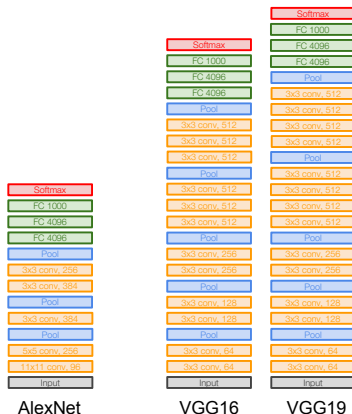
8 layers (AlexNet)

-> 16 - 19 layers (VGG16Net)

Only 3x3 CONV stride 1, pad 1  
and 2x2 MAX POOL stride 2

11.7% top 5 error in ILSVRC'13  
(ZFNet)

-> 7.3% top 5 error in ILSVRC'14

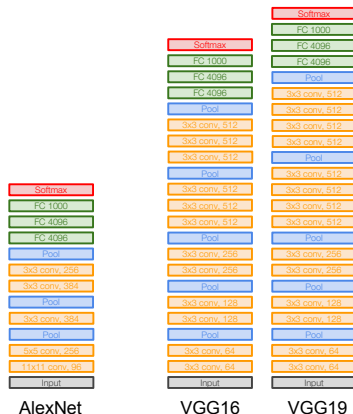


# VGGNet

## Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)



# VGGNet

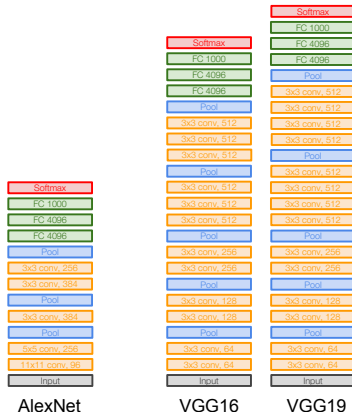
## Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has same **effective receptive field** as one 7x7 conv layer

Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



# VGGNet

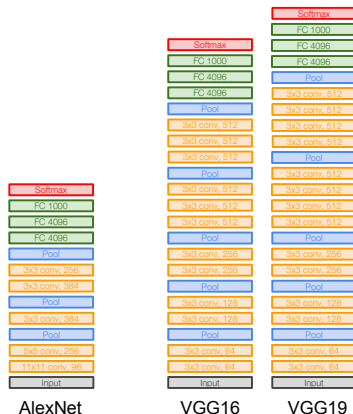
## Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has same **effective receptive field** as one 7x7 conv layer

[7x7]





# VGGNet

## Case Study: VGGNet

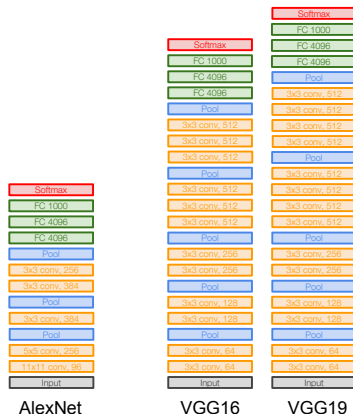
[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has same **effective receptive field** as one 7x7 conv layer

But deeper, more non-linearities

And fewer parameters:  $3 * (3^2C^2)$  vs.  $7^2C^2$  for C channels per layer



## VGGNet

INPUT: [224x224x3] memory:  $224*224*3=150K$  params: 0 (not counting biases)

CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*3)*64 = 1,728$

CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*64)*64 = 36,864$

POOL2: [112x112x64] memory:  $112*112*64=800K$  params: 0

CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*64)*128 = 73,728$

CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*128)*128 = 147,456$

POOL2: [56x56x128] memory:  $56*56*128=400K$  params: 0

CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*128)*256 = 294,912$

CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$

CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$

POOL2: [28x28x256] memory:  $28*28*256=200K$  params: 0

CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*256)*512 = 1,179,648$

CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*512)*512 = 2,359,296$

POOL2: [14x14x512] memory:  $14*14*512=100K$  params: 0

CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$

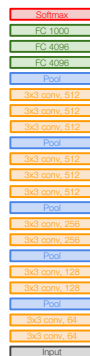
CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$

POOL2: [7x7x512] memory:  $7*7*512=25K$  params: 0

FC: [1x1x4096] memory: 4096 params:  $7*7*512*4096 = 102,760,448$

FC: [1x1x4096] memory: 4096 params:  $4096*4096 = 16,777,216$

FC: [1x1x1000] memory: 1000 params:  $4096*1000 = 4,096,000$



VGG16

## VGGNet

INPUT: [224x224x3] memory:  $224*224*3=150K$  params: 0 (not counting biases)

CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*3)*64 = 1,728$

CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*64)*64 = 36,864$

POOL2: [112x112x64] memory:  $112*112*64=800K$  params: 0

CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*64)*128 = 73,728$

CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*128)*128 = 147,456$

POOL2: [56x56x128] memory:  $56*56*128=400K$  params: 0

CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*128)*256 = 294,912$

CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$

CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$

POOL2: [28x28x256] memory:  $28*28*256=200K$  params: 0

CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*256)*512 = 1,179,648$

CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*512)*512 = 2,359,296$

POOL2: [14x14x512] memory:  $14*14*512=100K$  params: 0

CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$

POOL2: [7x7x512] memory:  $7*7*512=25K$  params: 0

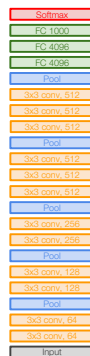
FC: [1x1x4096] memory: 4096 params:  $7*7*512*4096 = 102,760,448$

FC: [1x1x4096] memory: 4096 params:  $4096*4096 = 16,777,216$

FC: [1x1x1000] memory: 1000 params:  $4096*1000 = 4,096,000$

TOTAL memory:  $24M * 4 \text{ bytes} \approx 96MB$  / image (only forward!  $\sim 2$  for bwd)

TOTAL params: 138M parameters



VGG16

## VGGNet

INPUT: [224x224x3] memory:  $224*224*3=150K$  params: 0 (not counting biases)  
 CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*3)*64 = 1,728$   
 CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*64)*64 = 36,864$   
 POOL2: [112x112x64] memory:  $112*112*64=800K$  params: 0  
 CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*64)*128 = 73,728$   
 CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*128)*128 = 147,456$   
 POOL2: [56x56x128] memory:  $56*56*128=400K$  params: 0  
 CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*128)*256 = 294,912$   
 CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$   
 CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$   
 POOL2: [28x28x256] memory:  $28*28*256=200K$  params: 0  
 CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*256)*512 = 1,179,648$   
 CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*512)*512 = 2,359,296$   
 CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*512)*512 = 2,359,296$   
 POOL2: [14x14x512] memory:  $14*14*512=100K$  params: 0  
 CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$   
 CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$   
 CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$   
 POOL2: [7x7x512] memory:  $7*7*512=25K$  params: 0  
 FC: [1x1x4096] memory: 4096 params:  $7*7*512*4096 = 102,760,448$   
 FC: [1x1x4096] memory: 4096 params:  $4096*4096 = 16,777,216$   
 FC: [1x1x1000] memory: 1000 params:  $4096*1000 = 4,096,000$

TOTAL memory: 24M \* 4 bytes  $\approx$  96MB / image (only forward!  $\sim$ \*2 for bwd)

TOTAL params: 138M parameters

Note:

Most memory is in early CONV

Most params are in late FC

## VGGNet

INPUT: [224x224x3] memory:  $224*224*3=150K$  params: 0 (not counting biases)

CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*3)*64 = 1,728$

CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*64)*64 = 36,864$

POOL2: [112x112x64] memory:  $112*112*64=800K$  params: 0

CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*64)*128 = 73,728$

CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*128)*128 = 147,456$

POOL2: [56x56x128] memory:  $56*56*128=400K$  params: 0

CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*128)*256 = 294,912$

CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$

CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$

POOL2: [28x28x256] memory:  $28*28*256=200K$  params: 0

CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*256)*512 = 1,179,648$

CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*512)*512 = 2,359,296$

POOL2: [14x14x512] memory:  $14*14*512=100K$  params: 0

CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [14x14x512] memory:  $14*14*512=100K$  params:  $(3*3*512)*512 = 2,359,296$

POOL2: [7x7x512] memory:  $7*7*512=25K$  params: 0

FC: [1x1x4096] memory: 4096 params:  $7*7*512*4096 = 102,760,448$

FC: [1x1x4096] memory: 4096 params:  $4096*4096 = 16,777,216$

FC: [1x1x1000] memory: 1000 params:  $4096*1000 = 4,096,000$

TOTAL memory: 24M \* 4 bytes  $\approx$  96MB / image (only forward!  $\sim$ \*2 for bwd)

TOTAL params: 138M parameters



Common names



# VGGNet

## ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

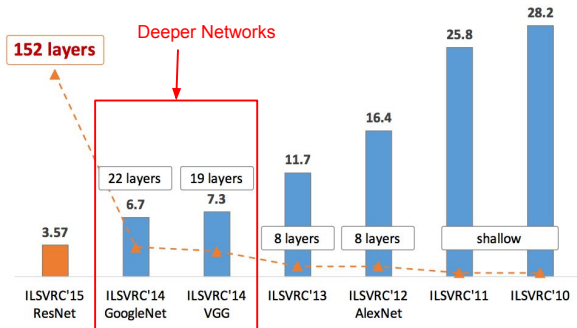


Figure copyright Kaiming He, 2016. Reproduced with permission.

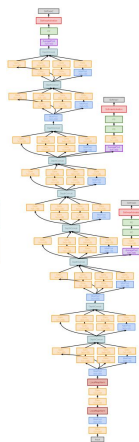
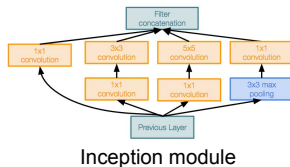
# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Deeper networks, with computational efficiency

- 22 layers
- Efficient “Inception” module
- No FC layers
- Only 5 million parameters!  
12x less than AlexNet
- ILSVRC’14 classification winner  
(6.7% top 5 error)



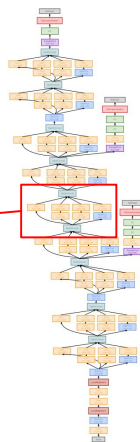
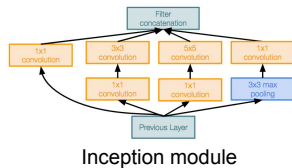


# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

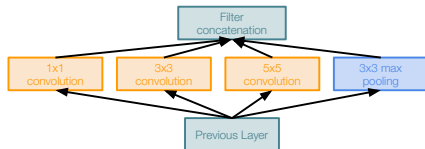
“Inception module”: design a good local network topology (network within a network) and then stack these modules on top of each other



# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]



Naive Inception module

Apply parallel filter operations on the input from previous layer:

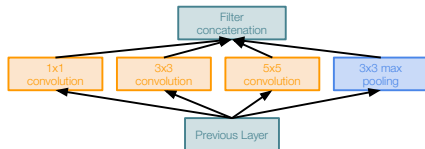
- Multiple receptive field sizes for convolution (1x1, 3x3, 5x5)
- Pooling operation (3x3)

Concatenate all filter outputs together depth-wise

# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]



Naive Inception module

Apply parallel filter operations on the input from previous layer:

- Multiple receptive field sizes for convolution (1x1, 3x3, 5x5)
- Pooling operation (3x3)

Concatenate all filter outputs together depth-wise

Q: What is the problem with this?  
[Hint: Computational complexity]

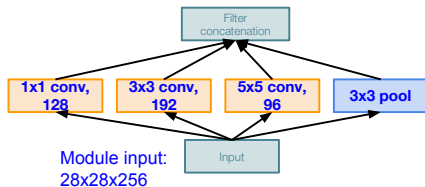
# GoogleNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example:



Naive Inception module

# GoogLeNet

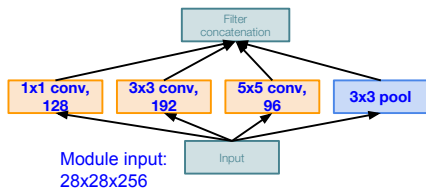
## Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example:

Q1: What is the output size of the  
1x1 conv, with 128 filters?



Naive Inception module

# GoogLeNet

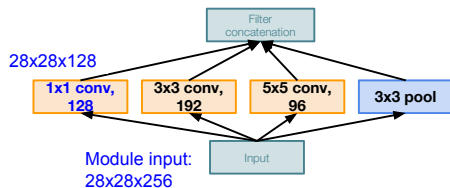
## Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example:

Q1: What is the output size of the  
1x1 conv, with 128 filters?



Naive Inception module

# GoogleNet

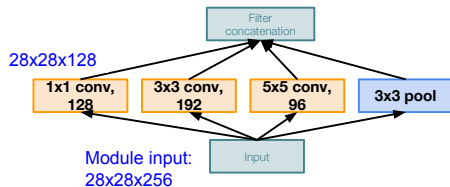
## Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example:

Q2: What are the output sizes of all different filter operations?



Naive Inception module

# GoogLeNet

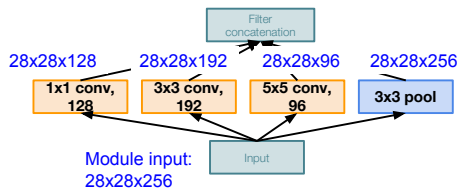
## Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example:

Q2: What are the output sizes of all different filter operations?



Naive Inception module



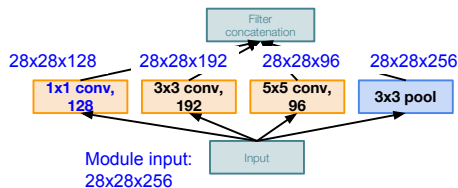
# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example: Q3: What is output size after filter concatenation?



Naive Inception module

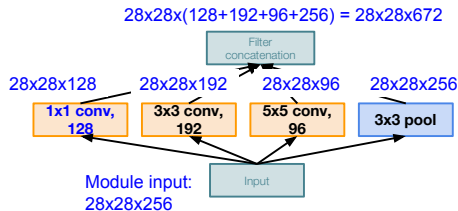
# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example: Q3: What is output size after filter concatenation?



Naive Inception module

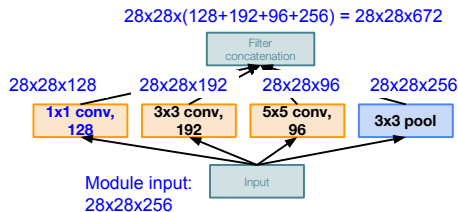
# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

**Example:**

Q3: What is output size after filter concatenation?



Naive Inception module

Q: What is the problem with this?  
[Hint: Computational complexity]

**Conv Ops:**

[ $1 \times 1$  conv, 128]  $28 \times 28 \times 128 \times 1 \times 1 \times 256$

[ $3 \times 3$  conv, 192]  $28 \times 28 \times 192 \times 3 \times 3 \times 256$

[ $5 \times 5$  conv, 96]  $28 \times 28 \times 96 \times 5 \times 5 \times 256$

**Total: 854M ops**

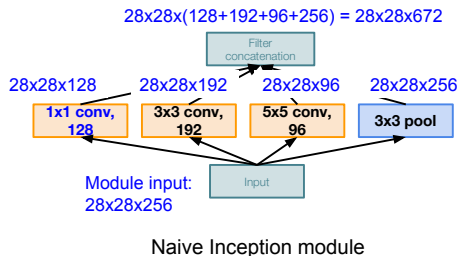
# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

**Example:**

Q3: What is output size after filter concatenation?



Q: What is the problem with this?  
[Hint: Computational complexity]

**Conv Ops:**

[1x1 conv, 128]  $28 \times 28 \times 128 \times 1 \times 1 \times 256$

[3x3 conv, 192]  $28 \times 28 \times 192 \times 3 \times 3 \times 256$

[5x5 conv, 96]  $28 \times 28 \times 96 \times 5 \times 5 \times 256$

**Total: 854M ops**

Very expensive compute

Pooling layer also preserves feature depth, which means total depth after concatenation can only grow at every layer!

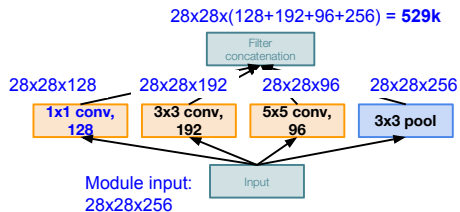
# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Example:

Q3: What is output size after filter concatenation?



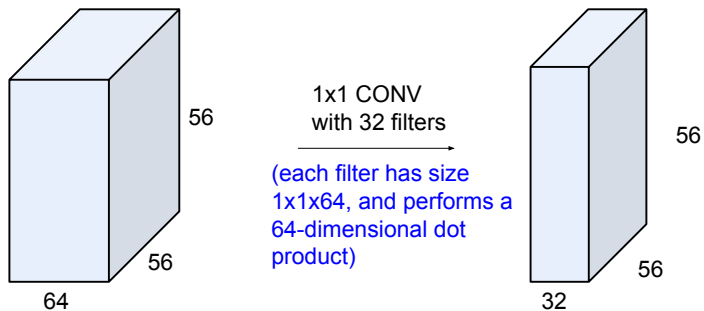
Naive Inception module

Q: What is the problem with this?  
[Hint: Computational complexity]

Solution: “bottleneck” layers that use 1x1 convolutions to reduce feature depth

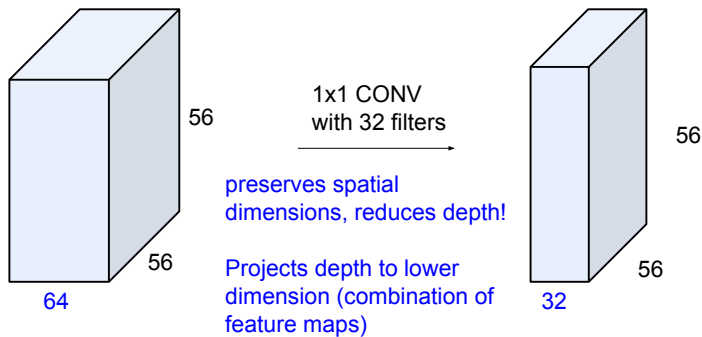
# GoogleNet

## Reminder: 1x1 convolutions



# GoogleNet

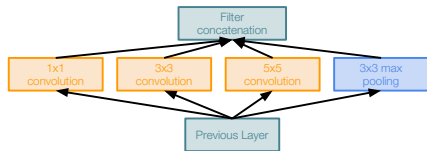
## Reminder: 1x1 convolutions



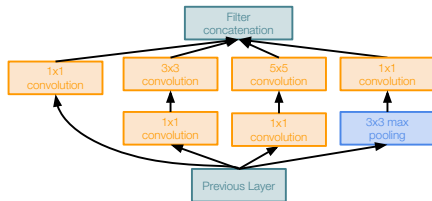
# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]



Naive Inception module



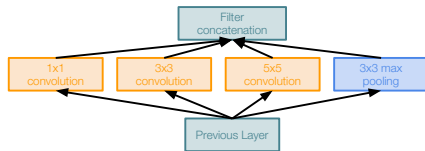
Inception module with dimension reduction



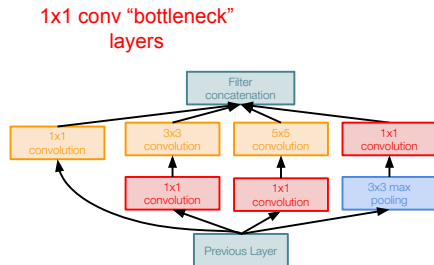
# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]



Naive Inception module

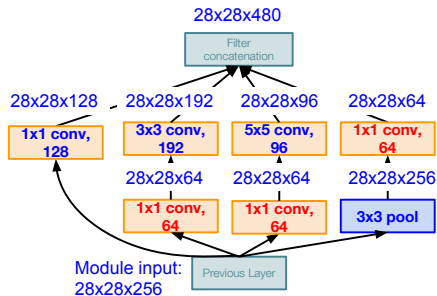


Inception module with dimension reduction

# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]



Inception module with dimension reduction

Using same parallel layers as naive example, and adding “1x1 conv, 64 filter” bottlenecks:

### Conv Ops:

[1x1 conv, 64] 28x28x64x1x1x256  
 [1x1 conv, 64] 28x28x64x1x1x256  
 [1x1 conv, 128] 28x28x128x1x1x256  
 [3x3 conv, 192] 28x28x192x3x3x64  
 [5x5 conv, 96] 28x28x96x5x5x64  
 [1x1 conv, 64] 28x28x64x1x1x256

**Total: 358M ops**

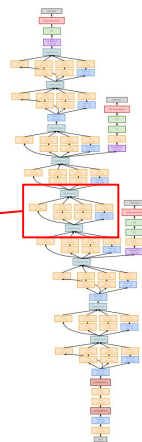
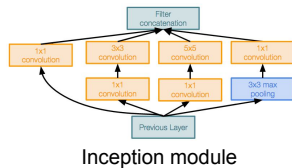
Compared to 854M ops for naive version  
 Bottleneck can also reduce depth after pooling layer

# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Stack Inception modules  
with dimension reduction  
on top of each other

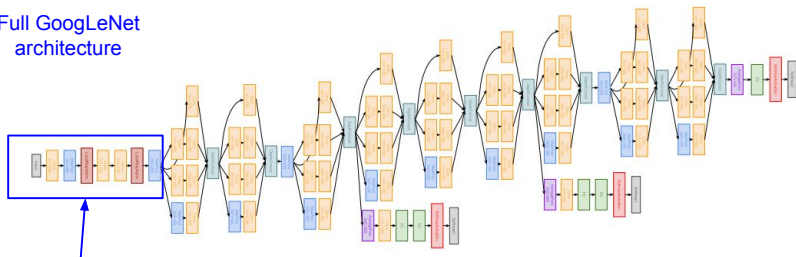


# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Full GoogLeNet  
architecture



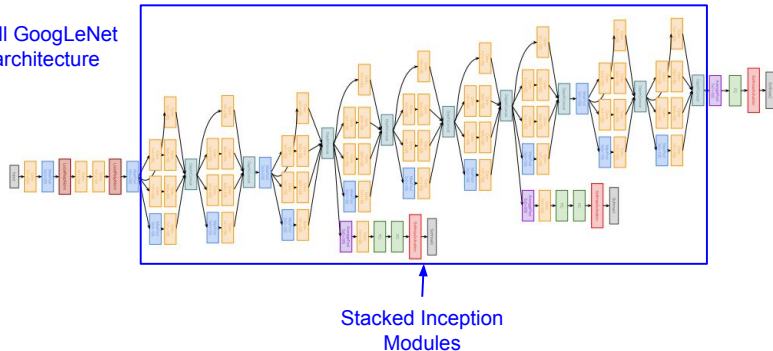
Stem Network:  
Conv-Pool-  
2x Conv-Pool

# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Full GoogLeNet  
architecture

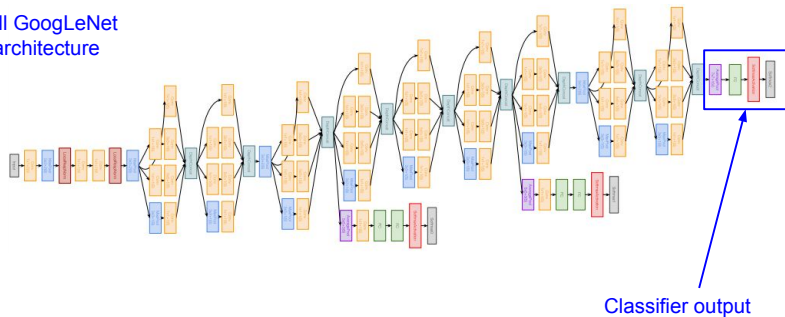


# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Full GoogLeNet  
architecture

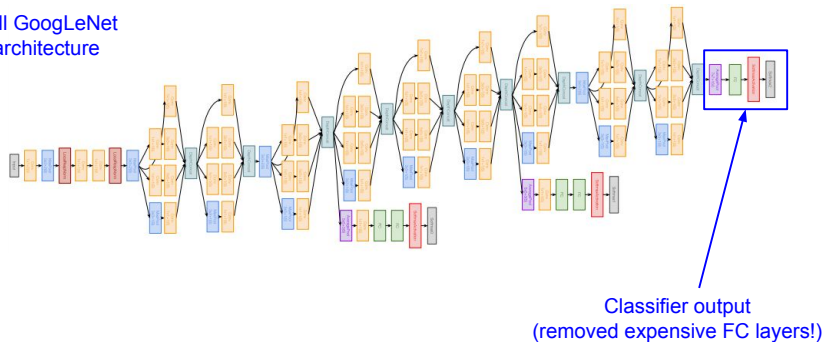


# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Full GoogLeNet  
architecture

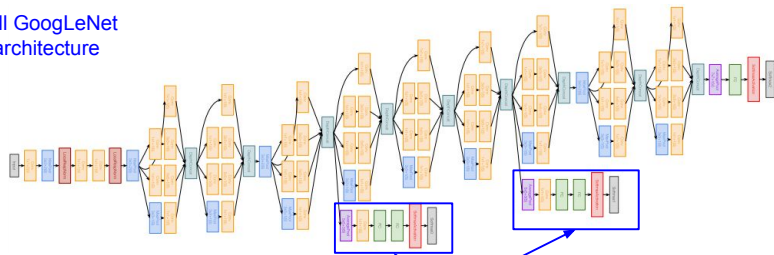


# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Full GoogLeNet  
architecture



Auxiliary classification outputs to inject additional gradient at lower layers  
(AvgPool-1x1Conv-FC-FC-Softmax)

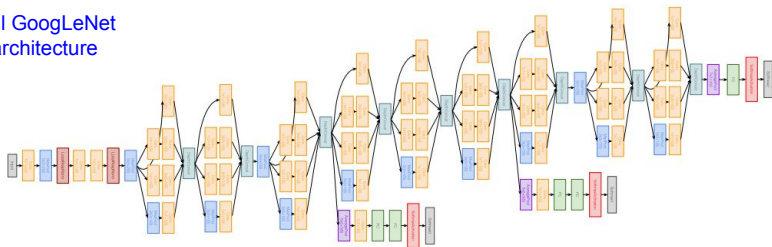


# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Full GoogLeNet  
architecture



22 total layers with weights (including each parallel layer in an Inception module)

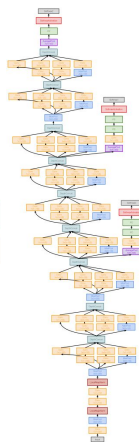
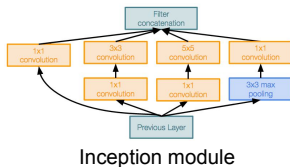
# GoogLeNet

## Case Study: GoogLeNet

[Szegedy et al., 2014]

Deeper networks, with computational efficiency

- 22 layers
- Efficient “Inception” module
- No FC layers
- 12x less params than AlexNet
- ILSVRC’14 classification winner (6.7% top 5 error)



# ResNet

## ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

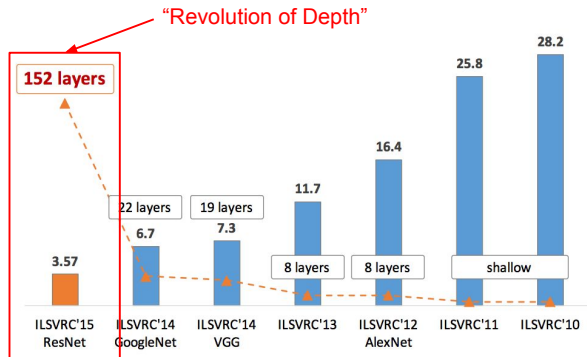


Figure copyright Kaiming He, 2016. Reproduced with permission.



# ResNet

## Case Study: ResNet

*[He et al., 2015]*

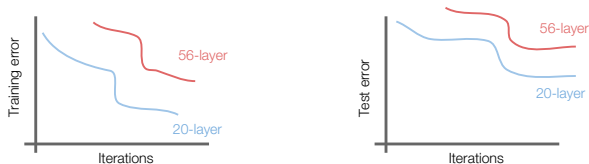
What happens when we continue stacking deeper layers on a “plain” convolutional neural network?

# ResNet

## Case Study: ResNet

[He et al., 2015]

What happens when we continue stacking deeper layers on a “plain” convolutional neural network?



Q: What's strange about these training and test curves?  
[Hint: look at the order of the curves]

# ResNet

## Case Study: ResNet

[He et al., 2015]

What happens when we continue stacking deeper layers on a “plain” convolutional neural network?



56-layer model performs worse on both training and test error  
-> The deeper model performs worse, but it's not caused by overfitting!

# ResNet

## Case Study: ResNet

[He et al., 2015]

Hypothesis: the problem is an *optimization* problem, deeper models are harder to optimize



# ResNet

## Case Study: ResNet

[He et al., 2015]

Hypothesis: the problem is an *optimization* problem, deeper models are harder to optimize

The deeper model should be able to perform at least as well as the shallower model.

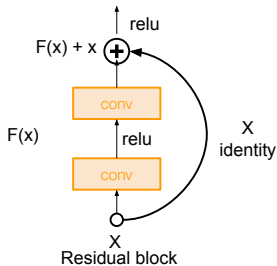
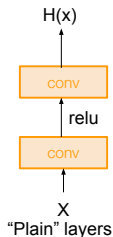
A solution by construction is copying the learned layers from the shallower model and setting additional layers to identity mapping.

# ResNet

## Case Study: ResNet

[He et al., 2015]

Solution: Use network layers to fit a residual mapping instead of directly trying to fit a desired underlying mapping

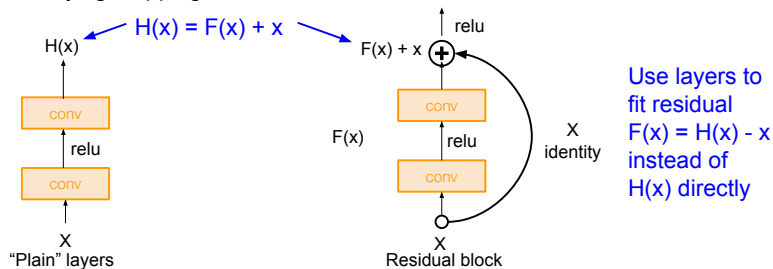


# ResNet

## Case Study: ResNet

[He et al., 2015]

Solution: Use network layers to fit a residual mapping instead of directly trying to fit a desired underlying mapping



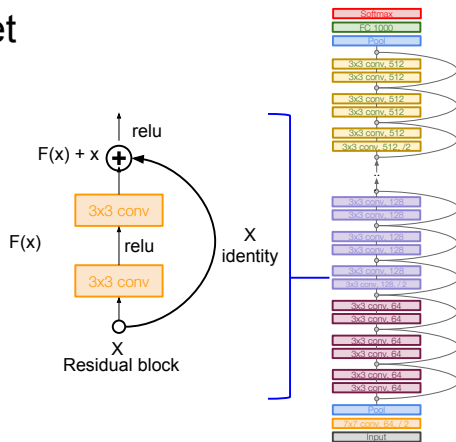
## ResNet

## Case Study: ResNet

[He et al., 2015]

Full ResNet architecture:

- Stack residual blocks
- Every residual block has two 3x3 conv layers



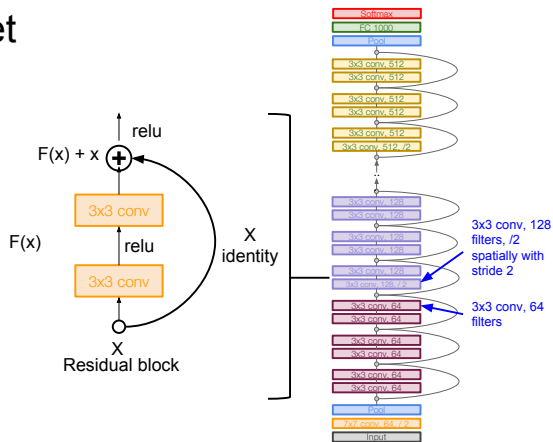
## ResNet

## Case Study: ResNet

[He et al., 2015]

Full ResNet architecture:

- Stack residual blocks
- Every residual block has two 3x3 conv layers
- Periodically, double # of filters and downsample spatially using stride 2 (/2 in each dimension)



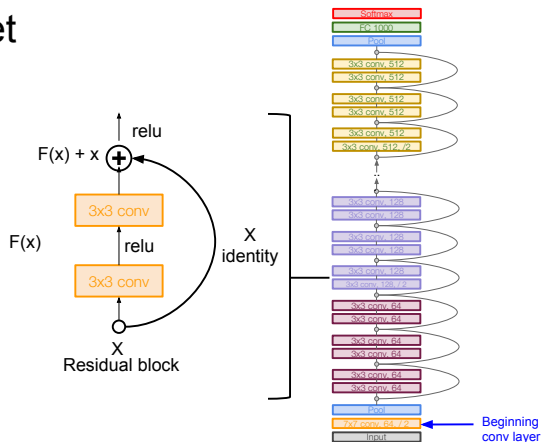
## ResNet

## Case Study: ResNet

[He et al., 2015]

Full ResNet architecture:

- Stack residual blocks
- Every residual block has two 3x3 conv layers
- Periodically, double # of filters and downsample spatially using stride 2 (/2 in each dimension)
- Additional conv layer at the beginning







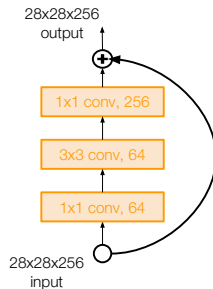


# ResNet

## Case Study: ResNet

[He et al., 2015]

For deeper networks  
(ResNet-50+), use “bottleneck”  
layer to improve efficiency  
(similar to GoogLeNet)

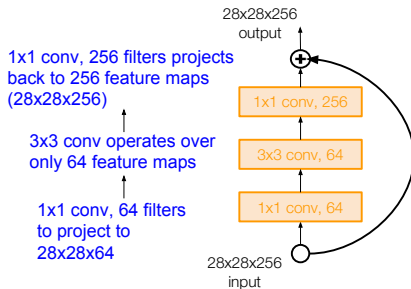


# ResNet

## Case Study: ResNet

[He et al., 2015]

For deeper networks  
(ResNet-50+), use “bottleneck”  
layer to improve efficiency  
(similar to GoogLeNet)



# ResNet

## Case Study: ResNet

*[He et al., 2015]*

Training ResNet in practice:

- Batch Normalization after every CONV layer
- Xavier/2 initialization from He et al.
- SGD + Momentum (0.9)
- Learning rate: 0.1, divided by 10 when validation error plateaus
- Mini-batch size 256
- Weight decay of  $1e-5$
- No dropout used

# ResNet

## Case Study: ResNet

[He et al., 2015]

### Experimental Results

- Able to train very deep networks without degrading (152 layers on ImageNet, 1202 on Cifar)
- Deeper networks now achieve lowering training error as expected
- Swept 1st place in all ILSVRC and COCO 2015 competitions

### MSRA @ ILSVRC & COCO 2015 Competitions

- **1st places in all five main tracks**

- ImageNet Classification: *"Ultra-deep"* (quote Yann) **152-layer** nets
- ImageNet Detection: **16%** better than 2nd
- ImageNet Localization: **27%** better than 2nd
- COCO Detection: **11%** better than 2nd
- COCO Segmentation: **12%** better than 2nd

# ResNet

## Case Study: ResNet

[He et al., 2015]

### Experimental Results

- Able to train very deep networks without degrading (152 layers on ImageNet, 1202 on Cifar)
- Deeper networks now achieve lowering training error as expected
- Swept 1st place in all ILSVRC and COCO 2015 competitions

### MSRA @ ILSVRC & COCO 2015 Competitions

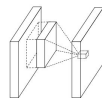
- **1st places in all five main tracks**

- ImageNet Classification: “Ultra-deep” (quote Yann) **152-layer** nets
- ImageNet Detection: **16%** better than 2nd
- ImageNet Localization: **27%** better than 2nd
- COCO Detection: **11%** better than 2nd
- COCO Segmentation: **12%** better than 2nd

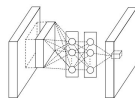
ILSVRC 2015 classification winner (3.6% top 5 error) -- better than “human performance”! (Russakovsky 2014)

# Other Architecture: Network in Network [Lin et al. 2014]

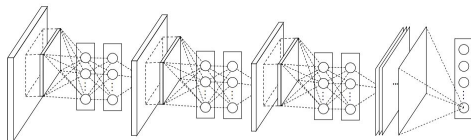
- Introduce MLPConv
  - Inspire inception modules in GoogleNet and residual blocks in ResNets
- Popularize 1x1 conv
- Popularize global average pooling in place of full connected layers



(a) Linear convolution layer



(b) MLPconv layer



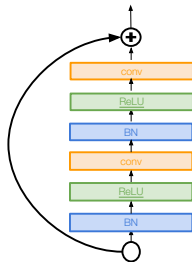
# Other Architecture

## Improving ResNets...

### Identity Mappings in Deep Residual Networks

[He et al. 2016]

- Improved ResNet block design from creators of ResNet
- Creates a more direct path for propagating information throughout network (moves activation to residual mapping pathway)
- Gives better performance



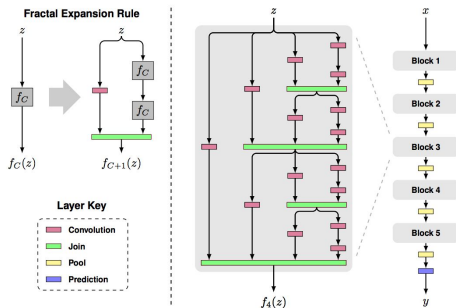
# More Skip Connection Tricks

## Beyond ResNets...

### FractalNet: Ultra-Deep Neural Networks without Residuals

[Larsson et al. 2017]

- Argues that key is transitioning effectively from shallow to deep and residual representations are not necessary
- Fractal architecture with both shallow and deep paths to output
- Trained with dropping out sub-paths
- Full network at test time



Figures copyright Larsson et al., 2017. Reproduced with permission.



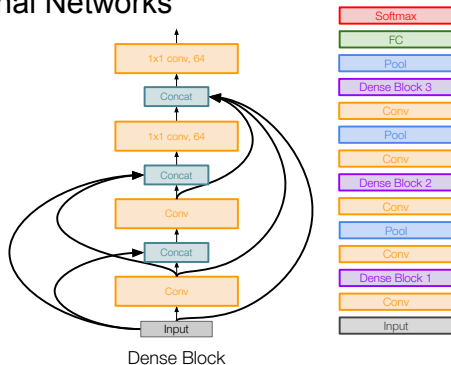
# More Skip Connection Tricks

## Beyond ResNets...

### Densely Connected Convolutional Networks

[Huang et al. 2017]

- Dense blocks where each layer is connected to every other layer in feedforward fashion
- Alleviates vanishing gradient, strengthens feature propagation, encourages feature reuse



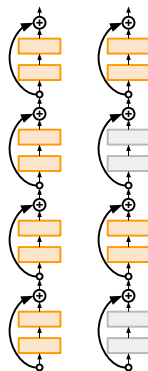
# More Skip Connection Tricks

Improving ResNets...

## Deep Networks with Stochastic Depth

[Huang et al. 2016]

- Motivation: reduce vanishing gradients and training time through short networks during training
- Randomly drop a subset of layers during each training pass
- Bypass with identity function
- Use full deep network at test time



# SqueezeNet Strategies

Strategy 1: Replace  $3 \times 3$  by  $1 \times 1$  filters

Strategy 2: Decrease # input channels of  $3 \times 3$  filters

Strategy 3: Delay downsampling of the networks to increase the size of activation/feature map

# SqueezeNet (Con't)

Efficient networks...

## SqueezeNet: AlexNet-level Accuracy With 50x Fewer Parameters and <0.5Mb Model Size

[Iandola et al. 2017]

- Fire modules consisting of a 'squeeze' layer with 1x1 filters feeding an 'expand' layer with 1x1 and 3x3 filters
- AlexNet level accuracy on ImageNet with 50x fewer parameters
- Can compress to 510x smaller than AlexNet (0.5Mb)

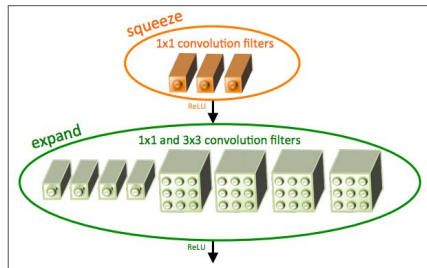
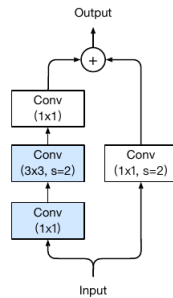
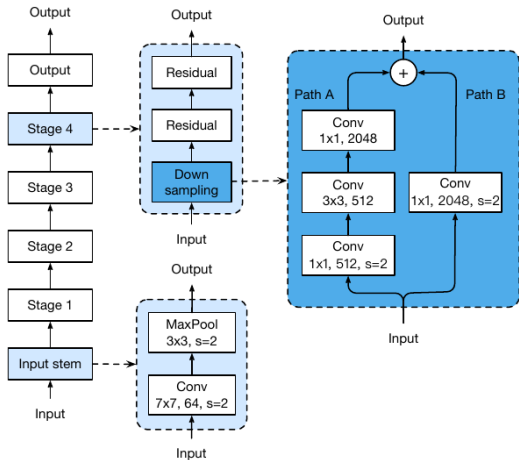
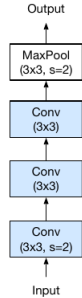


Figure copyright Iandola, Han, Moskewicz, Ashraf, Dally, Keutzer, 2017. Reproduced with permission.

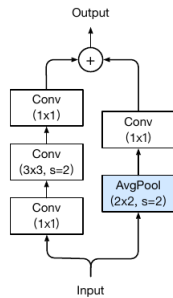
# Bag of tricks for ResNet



(a) ResNet-B



(b) ResNet-C



(c) ResNet-D

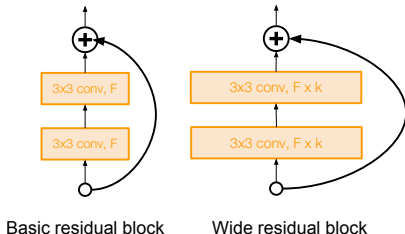
# Other Architecture

Improving ResNets...

## Wide Residual Networks

[Zagoruyko et al. 2016]

- Argues that residuals are the important factor, not depth
- User wider residual blocks ( $F \times k$  filters instead of  $F$  filters in each layer)
- 50-layer wide ResNet outperforms 152-layer original ResNet
- Increasing width instead of depth more computationally efficient (parallelizable)



## ResNeXt [Xie et al. 2016]

- Also from creators of ResNet
- Increases width of residual block through parallel pathways (“cardinalities”)
- Same spirit as Inception but simpler

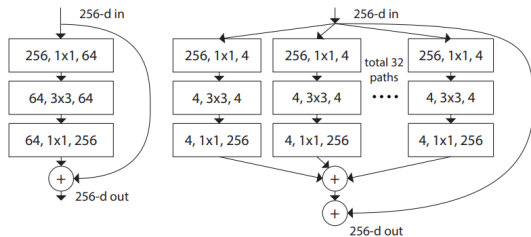
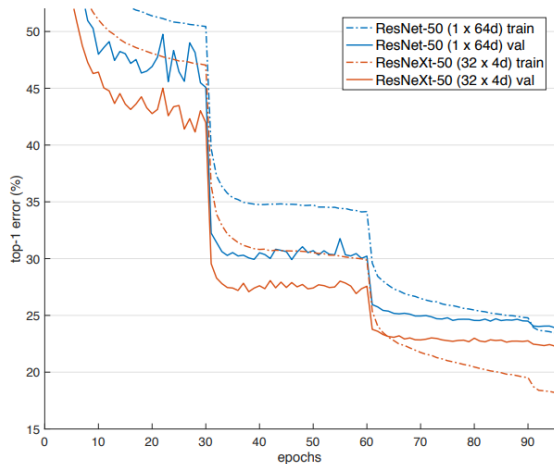


Figure 1. **Left:** A block of ResNet [14]. **Right:** A block of ResNeXt with cardinality = 32, with roughly the same complexity. A layer is shown as (# in channels, filter size, # out channels).

## ResNeXt vs ResNet

stage	output	ResNet-50	ResNeXt-50 (32×4d)
conv1	112×112	7×7, 64, stride 2	7×7, 64, stride 2
conv2	56×56	3×3 max pool, stride 2	3×3 max pool, stride 2
		$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128, C=32 \\ 1 \times 1, 256 \end{bmatrix} \times 3$
conv3	28×28	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256, C=32 \\ 1 \times 1, 512 \end{bmatrix} \times 4$
conv4	14×14	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512, C=32 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$
conv5	7×7	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 1024 \\ 3 \times 3, 1024, C=32 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$
	1×1	global average pool 1000-d fc, softmax	global average pool 1000-d fc, softmax
# params.		$25.5 \times 10^6$	$25.0 \times 10^6$
FLOPs		$4.1 \times 10^9$	$4.2 \times 10^9$





# Group filter/convolution

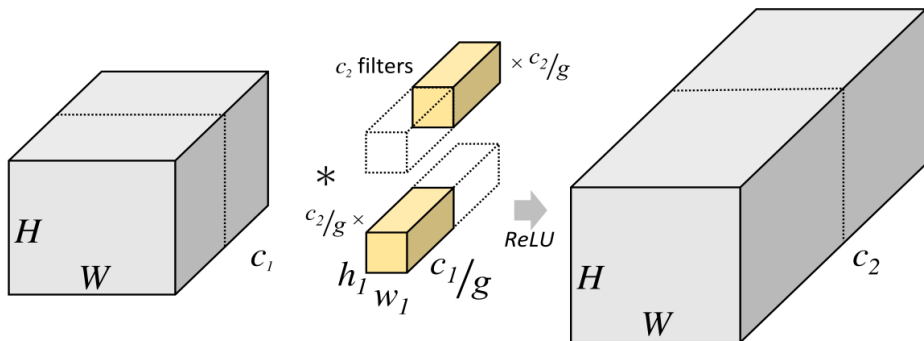
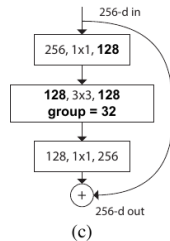
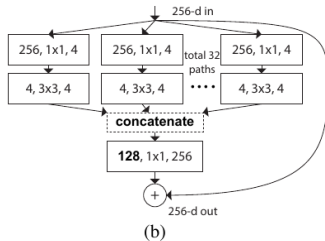
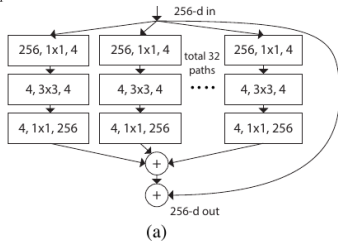


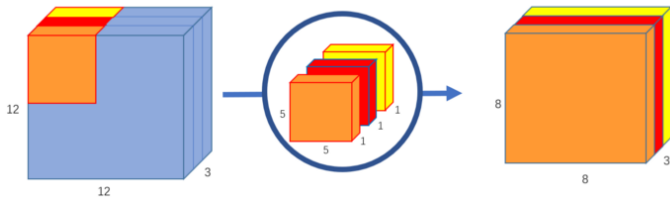
Image credit: [Yani Ioannou](#)

## Group filter interpretation of ResNeXt

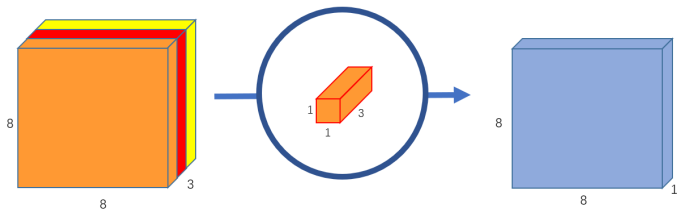
equivalent



# Depthwise separable convolution (MobileNet)



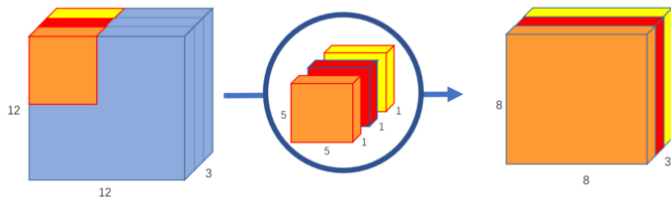
Depth-wise convolution



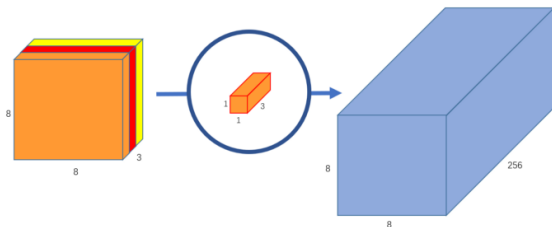
Point-wise convolution

Image credit: [Chi-Feng Wang](#)

# Depthwise separable convolution (more channels)



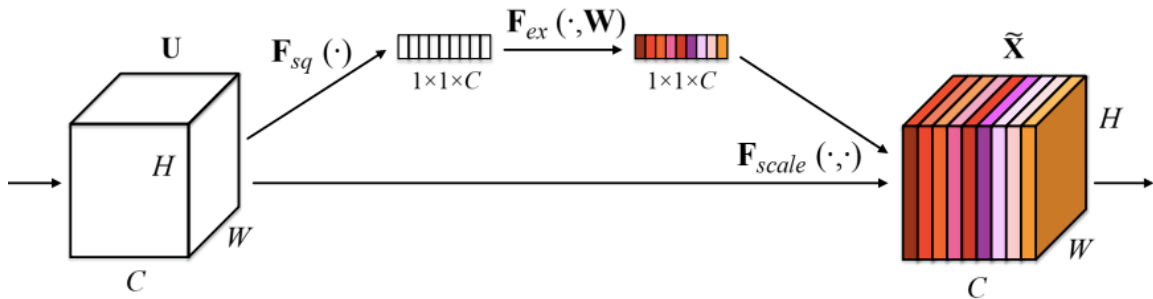
Depth-wise convolution



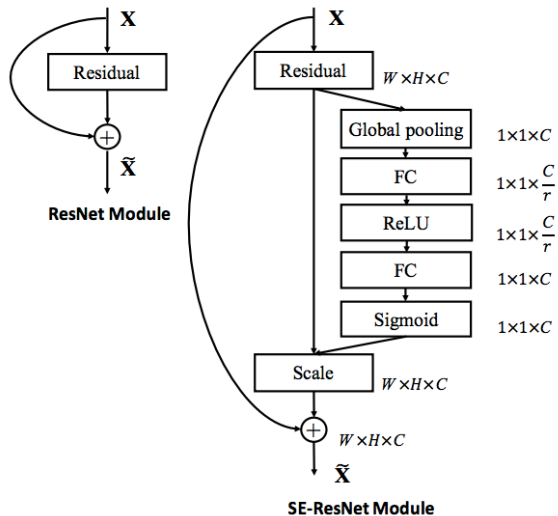
Point-wise convolution

Image credit: [Chi-Feng Wang](#)

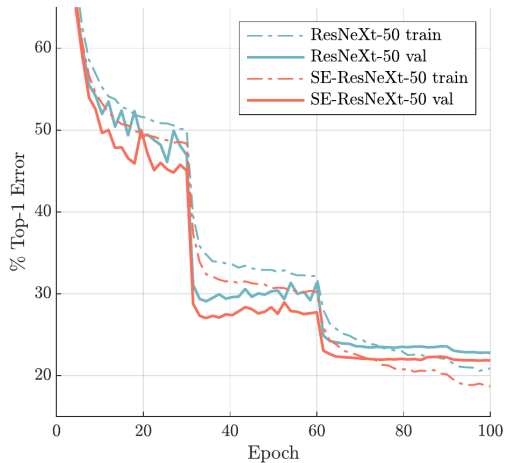
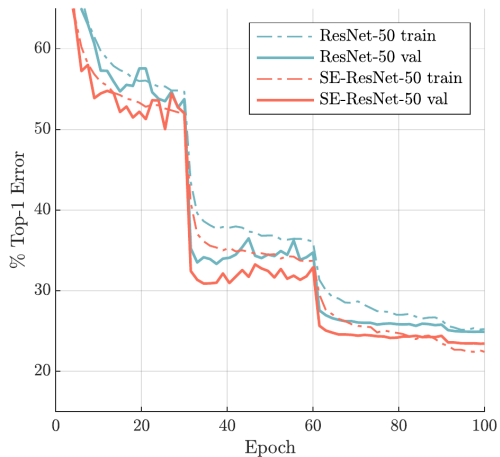
# Squeeze-and-excitation



# Squeeze-and-excitation



# Squeeze-and-excitation



# Inverted residual (MobileNetV2)

- We want to squeeze before conv in original ResNet to save compute and reduce # parameters
- It is not as much an issue with depthwise separable conv
  - It makes more sense to add skip connection to the more information-densed “squeezed” layer rather than a thicker layer

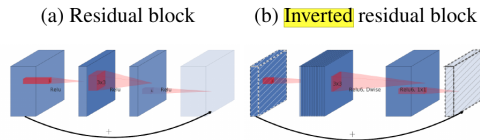
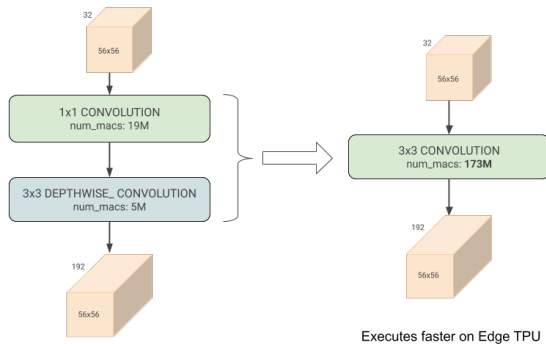


Figure 3: The difference between residual block [8, 30] and inverted residual. Diagonally hatched layers do not use non-linearities. We use thickness of each block to indicate its relative number of channels. Note how classical residuals connects the layers with high number of channels, whereas the inverted residuals connect the bottlenecks. Best viewed in color.



## Fused-MBConv

- Use FusedMBConv in earlier layers
  - Not many channels yet
- Use MBConv in later layers
  - Many channels in later layers



# Neural architecture search (works from Google brain)

- Neural architecture search with reinforcement learning
  - first paper in the area
- Learning transferable architectures for scalable image recognition
  - aka NASNet
  - Learn cells and duplicate them
- MnasNet: Platform-Aware Neural Architecture Search for Mobile
  - Include latency in its objective function
- EfficientNet
  - Introduce compound scaling:  $d = \alpha^\phi$ ,  $w = \beta^\phi$ ,  $r = \gamma^\phi$
  - Optimize over flop rather than latency. Include memory usage in the objective function as well
- EfficientNetV2
  - More tweaks over EfficientNet. Include “fused-MBConv” as an option
  - Use progressive (curriculum) learning

## EfficientNet Result

Model	Top-1 Acc.	Top-5 Acc.	#Params	Ratio-to-EfficientNet	#FLOPs	Ratio-to-EfficientNet
<b>EfficientNet-B0</b>	<b>77.1%</b>	<b>93.3%</b>	<b>5.3M</b>	<b>1x</b>	<b>0.39B</b>	<b>1x</b>
ResNet-50 (He et al., 2016)	76.0%	93.0%	26M	4.9x	4.1B	11x
DenseNet-169 (Huang et al., 2017)	76.2%	93.2%	14M	2.6x	3.5B	8.9x
<b>EfficientNet-B1</b>	<b>79.1%</b>	<b>94.4%</b>	<b>7.8M</b>	<b>1x</b>	<b>0.70B</b>	<b>1x</b>
ResNet-152 (He et al., 2016)	77.8%	93.8%	60M	7.6x	11B	16x
DenseNet-264 (Huang et al., 2017)	77.9%	93.9%	34M	4.3x	6.0B	8.6x
Inception-v3 (Szegedy et al., 2016)	78.8%	94.4%	24M	3.0x	5.7B	8.1x
Xception (Chollet, 2017)	79.0%	94.5%	23M	3.0x	8.4B	12x
<b>EfficientNet-B2</b>	<b>80.1%</b>	<b>94.9%</b>	<b>9.2M</b>	<b>1x</b>	<b>1.0B</b>	<b>1x</b>
Inception-v4 (Szegedy et al., 2017)	80.0%	95.0%	48M	5.2x	13B	13x
Inception-resnet-v2 (Szegedy et al., 2017)	80.1%	95.1%	56M	6.1x	13B	13x
<b>EfficientNet-B3</b>	<b>81.6%</b>	<b>95.7%</b>	<b>12M</b>	<b>1x</b>	<b>1.8B</b>	<b>1x</b>
ResNeXt-101 (Xie et al., 2017)	80.9%	95.6%	84M	7.0x	32B	18x
PolyNet (Zhang et al., 2017)	81.3%	95.8%	92M	7.7x	35B	19x
<b>EfficientNet-B4</b>	<b>82.9%</b>	<b>96.4%</b>	<b>19M</b>	<b>1x</b>	<b>4.2B</b>	<b>1x</b>
SENet (Hu et al., 2018)	82.7%	96.2%	146M	7.7x	42B	10x
NASNet-A (Zoph et al., 2018)	82.7%	96.2%	89M	4.7x	24B	5.7x
AmoebaNet-A (Real et al., 2019)	82.8%	96.1%	87M	4.6x	23B	5.5x
PNASNet (Liu et al., 2018)	82.9%	96.2%	86M	4.5x	23B	6.0x
<b>EfficientNet-B5</b>	<b>83.6%</b>	<b>96.7%</b>	<b>30M</b>	<b>1x</b>	<b>9.9B</b>	<b>1x</b>
AmoebaNet-C (Cubuk et al., 2019)	83.5%	96.5%	155M	5.2x	41B	4.1x
<b>EfficientNet-B6</b>	<b>84.0%</b>	<b>96.8%</b>	<b>43M</b>	<b>1x</b>	<b>19B</b>	<b>1x</b>
<b>EfficientNet-B7</b>	<b>84.3%</b>	<b>97.0%</b>	<b>66M</b>	<b>1x</b>	<b>37B</b>	<b>1x</b>
GPipe (Huang et al., 2018)	84.3%	97.0%	557M	8.4x	-	-

We omit ensemble and multi-crop models (Hu et al., 2018), or models pretrained on 3.5B Instagram images (Mahajan et al., 2018).

## EfficientNetV2 Result

Model	Top-1 Acc.	Params	FLOPs	Infer-time(ms)	Train-time (hours)
EfficientNet-B3 (Tan & Le, 2019a)	81.5%	12M	1.9B	19	10
EfficientNet-B4 (Tan & Le, 2019a)	82.9%	19M	4.2B	30	21
EfficientNet-B5 (Tan & Le, 2019a)	83.7%	30M	10B	60	43
EfficientNet-B6 (Tan & Le, 2019a)	84.3%	43M	19B	97	75
EfficientNet-B7 (Tan & Le, 2019a)	84.7%	66M	38B	170	139
RegNetY-8GF (Radosavovic et al., 2020)	81.7%	39M	8B	21	-
RegNetY-16GF (Radosavovic et al., 2020)	82.9%	84M	16B	32	-
ResNeSt-101 (Zhang et al., 2020)	83.0%	48M	13B	31	-
ResNeSt-200 (Zhang et al., 2020)	83.9%	70M	36B	76	-
ResNeSt-269 (Zhang et al., 2020)	84.5%	111M	78B	160	-
ConvNets & Hybrid					
TResNet-L (Ridnik et al., 2020)	83.8%	56M	-	45	-
TResNet-XL (Ridnik et al., 2020)	84.3%	78M	-	66	-
EfficientNet-X (Li et al., 2021)	84.7%	73M	91B	-	-
NFNet-F0 (Brock et al., 2021)	83.6%	72M	12B	30	8.9
NFNet-F1 (Brock et al., 2021)	84.7%	133M	36B	70	20
NFNet-F2 (Brock et al., 2021)	85.1%	194M	63B	124	36
NFNet-F3 (Brock et al., 2021)	85.7%	255M	115B	203	65
NFNet-F4 (Brock et al., 2021)	85.9%	316M	215B	309	126
LambdaResNet-420-hybrid (Bello, 2021)	84.9%	125M	-	-	67
BotNet-T7-hybrid (Srinivas et al., 2021)	84.7%	75M	46B	-	95
BiT-M-R152x2 (21k) (Kolesnikov et al., 2020)	85.2%	236M	135B	500	-
Vision Transformers					
ViT-B/32 (Dosovitskiy et al., 2021)	73.4%	88M	13B	13	-
ViT-B/16 (Dosovitskiy et al., 2021)	74.9%	87M	56B	68	-
DeiT-B (ViT+reg) (Touvron et al., 2021)	81.8%	86M	18B	19	-
DeiT-B-384 (ViT+reg) (Touvron et al., 2021)	83.1%	86M	56B	68	-
T2T-ViT-19 (Yuan et al., 2021)	81.4%	39M	8.4B	-	-
T2T-ViT-24 (Yuan et al., 2021)	82.2%	64M	13B	-	-
ViT-B/16 (21k) (Dosovitskiy et al., 2021)	84.6%	87M	56B	68	-
ViT-L/16 (21k) (Dosovitskiy et al., 2021)	85.3%	304M	192B	195	172
ConvNets (ours)					
<b>EfficientNetV2-S</b>	83.9%	22M	8.8B	24	7.1
<b>EfficientNetV2-M</b>	85.1%	54M	24B	57	13
<b>EfficientNetV2-L</b>	85.7%	120M	53B	98	24
<b>EfficientNetV2-S (21k)</b>	84.9%	22M	8.8B	24	9.0
<b>EfficientNetV2-M (21k)</b>	86.2%	54M	24B	57	15
<b>EfficientNetV2-L (21k)</b>	86.8%	120M	53B	98	26
<b>EfficientNetV2-XL (21k)</b>	87.3%	208M	94B	-	45

We do not include models pretrained on non-public Instagram/JFT images, or models with extra distillation or ensemble.

# Summary of CNN tricks

- Parallel filters
  - Inception module
- Reduce number of parameters
  - Combination of small filters
  - Bottleneck layer using 1x1 conv
  - Group conv filter
  - Depth-wise separable filter (mobile net)
- Skip connections
  - Residual blocks
- Inverted residual blocks (combined with depth-wise separable filters)
  - Aka MBConv
  - Fused mbconv to match TPU architecture
- Channel importance scaling
  - Squeeze-and-excitation module
- Architecture search
  - RL learning with latency or #flops as regularization penalty